A Global Platform for Monitoring Marine Litter and Informing Action

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1 Background and context

Marine litter is "any persistent, manufactured or processed solid material discarded, disposed of or abandoned in the marine and coastal environment". All the world's oceans and seas, even in remote areas far from human contact, contain marine litter due to its transboundary nature. The continuous growth in the amount of solid waste thrown away and the slow rate of degradation of most items are together leading to a gradual increase in marine litter found at sea, on the sea floor and coastal shores. It has become an economic, environmental, human health and aesthetic problem posing a complex and multi-dimensional challenge.

9 Marine plastics are of particular interest due to the fact that in the last 50 years, plastic production 10 has increased more than 22-fold while the global recycling rate of plastics in 2015 was only an 11 estimated 9% (Geyer et al., 2017). This rise in plastic production and unmanaged plastic waste 12 has resulted a growing threat to marine environments with an estimated 5-13 million tons of plastic

13 from land-based sources ending up in marine environments annually (Jambeck et al., 2015).

14 The UN Sustainable Development Goals recognize the importance of marine plastics through a target related to marine litter (SDG target 14.1) and in four UN Environment Assembly resolutions 15 16 (from UNEA-1 in 2014, UNEA-2 in 2016, UNEA-3 in 2017 and UNEA-4 in 2019). However, there 17 are large gaps in knowledge in terms of understanding marine litter and microplastics: a reliable 18 figure for the volume of plastics entering the ocean, the accumulated volume of plastics in the 19 marine environment, mapping of the source and sink location of plastics, and basic data on 20 microplastics are currently lacking. There is a need to use existing data from remote sensing, 21 citizen science, and in situ monitoring to better understand marine litter and microplastics; 22 however, much of the research in this field is at an initial stage and only data related to beach 23 litter is available in many regions (UN Environment, 2018).

Sustainable Development with Goal 14, Target 14.1 recognizes the consistent need for monitoring and reporting of marine litter: *"by 2025, prevent and significantly reduce marine pollution of all kinds (...)"*. This target provides a deadline for progress on reducing marine litter and further informed by SDG indicator 14.1.1b, *"plastic debris."* UN Environment is proposing four core subindicators for SDG 14.1.1b:

- 29 1) Plastic debris washed/deposited on beaches or shorelines (beach litter)
- 30 2) Floating plastic debris and debris in the water column
- 3) Plastic debris on the seafloor/seabed
- 32 4) Plastic ingested by biota (e.g. sea birds) (optional).

33 Despite the growing interest in monitoring the above areas, there is a wide range of non-34 comparable monitoring approaches that limits the development of indicators and spatial or temporal assessments (Galgani, Hanke & Maes, 2015). The focus of this paper is on the 35 36 monitoring of marine litter, not on the sources and pathways of marine litter. This is only one part 37 of the picture as these measures only capture the accumulation of plastics and do not cover 38 marine litter more broadly, do not cover microplastics, and do not cover the sources and pathways 39 for marine litter. In order to effectively monitor, manage, and avoid the generation of marine litter, 40 there is a need to consider the following:

- *Plastic flow:* How marine litter moves in the marine environment in a way that allows
 tracking the origin of plastic pollution is
- *Life-cycle approach:* Monitoring should encompass not only the amounts of plastic already in the ocean, but quantify flows and stocks of plastic across the life cycle of plastic-using products. This requires a holistic approach assessing production and use / consumption practices across the life cycle of products.
- 47 Waste management practices: Leakages in the waste management system, illegal dumping, and leakages in the recycling process are a leading contributor to marine litter.
 49 The SDGs capture waste management as an important contributor to marine litter.
- *Plastic in waterways:* There is a lack of information on how plastic and microplastics
 move through rivers, sewage systems and other waterways to end up in the marine
 environment.
- *Plastic types:* There is a need to track plastics and microplastics by type of plastic,
 including plastic related e-waste and chemicals (and toxicity) in plastics. It is not possible
 to get a complete picture of marine litter without information on what can be recycled and
 what has chemicals.
- 57 **Consumer awareness:** Communication of information and data must builds public 38 awareness so that consumers can make informed decisions.
- 59 *Microplastics:* Understanding the sources of microplastics and the impact of microplastics on human health is a priority.
- *Trade-offs:* Understanding when a specific alternative to a plastic product is better or
 worse than the use of plastics is a challenge. Life Cycle Assessment (LCA) is the tool best
 suited for such purpose, although it still does not incorporate indicators for the impacts of
 marine litter. Without considering LCA results alongside marine litter indicators, it is difficult
 to provide policy advice that would result in benefits and not costs to human health and
 the environment.

67 Marine litter observation is currently very sparse, and as a result, there is a knowledge gap about 68 the biological and physical process that transport plastics through marine ecosystems and 69 potentially to humans (Katija et al. 2017). Therefore, any quantitative approach to integrating 70 source and dispersion/accumulation dynamics must take a multidisciplinary approach combining 71

forward or inverse hydrodynamic or dispersion models with multisource Earth observation data.

72 While standardized methods for monitoring marine litter will greatly improve the understanding of 73 the marine litter, development and reporting of indicators will require integrated and comparable data. Currently, peer-reviewed journals and databases hosted by NGOs and government 74 75 authorities hold much of the data on marine litter. As suggested by Galgani, et al. (2015) and 76 Maximenko et al. (2019), a joint international database would facilitate the collection of data for 77 marine litter indicators and improve standardization and comparability. Such a database would 78 also support policy decisions related to the reduction of marine litter and support analysis of the 79 efficacy of mitigation efforts.

- This paper outlines a concept for the development of a global data platform for marine litter including the vision, feasibility, potential structure and funding needed. This paper will further discussions on developing a long-term project in support of such a platform that could be hosted on the UNEP World Environment Situation Room. This paper is organized into eight sections:
- 84 **Section 1** provides a summary of existing and developing monitoring technology.

- **Section 2** provides a summary of existing marine litter databases and major published datasets.
- **Section 3** explores indicators for monitoring marine litter.
- **Section 4:** explores life cycle indicators for plastic litter and linkages with other monitoring 88 initiatives across the plastics value chain
- **Section 5** provides a summary of existing and developing platforms of relevance.
- Section 6 outlines the proposed features of a global platform for monitoring marine litter and
 informing action, next steps and required resources.
- **Section 7** outlines a proposed pilot project for the development of marine litter in a digital 93 ecosystem for the environment.
- **Section 8** provides insights into aspirational, future developments.

96 Section 1: Monitoring technologies

97 There is a need for regular and standardized monitoring of marine litter in order to understand 98 long-term changes in marine litter and for the successful development and implementation of 99 mitigation strategies. The diverse nature, sources and impacts of marine litter require a wide 100 range of technologies and methods for monitoring. Recent efforts to compile information on 101 existing methodologies and recommend standardized methodologies for global monitoring 102 include the Joint Group of Experts on the Environmental Aspects of Marine Environmental 103 Protection (GESAMP) Guidelines for the monitoring and assessment of plastic litter and 104 microplastics in the ocean (GESAMP, 2019) and the Global Manual on Ocean Statistics (UN 105 Environment, 2018).

One challenge for implementing and further developing monitoring methodologies for marine litter is an understanding of the existing technologies for monitoring marine litter. This section summarizes technologies for the monitoring of marine litter and describes how to use these technologies to collect the necessary data for a global view of marine litter. For an overview of the observing system technologies required for the development of a future integrated marine debris observing system, see Maximenko et. al. (2019).

112 For this paper, we have grouped technologies based on applicability to the size classes recommended in the GESAMP 2019 methodology (Table 1). In addition, we have assigned 113 114 technology readiness levels (Table 2) based on the National Oceanic and Atmospheric 115 Administration (NOAA) policy on research and development transitions to support prioritization of 116 data standardization and integration. Readiness levels are defined by NOAA as "a systematic 117 project metric/measurement system that supports assessments of the maturity of research and 118 development projects from research to operation, application, commercial product or service, or 119 other use and allows the consistent comparison of maturity between different types of research 120 and development projects" (NOAA, 2017).

Table 1	. Size catego	ories for rout	ine marine litte	er monitoring (C	JESAMP, 2019)

Size Category	Size Range
Mega	> 1 m
Macro	25 mm - 1 m
Meso	5-25 mm
Micro	<5 mm

Table 2. Technology readiness levels (NOAA, 2017)

Readiness Level	Readiness Level Defined			
1	Basic research and/or development principles observed and reported			
2	Formulation of concept for operations, application,			

	commercialization or other uses for societal benefits			
3	Proof-of-concept (viability established)			
4	Validation of system, process, product, service or tool in laboratory or other experimental environment			
5	Validation of system, process, product, service or tool in relevant environment			
6	Validation of system, process, service, or tool in relevant environment (potential demonstrated)			
7	Prototype demonstrated in an operational or other relevant environment (functionally demonstrated in pseudo real world environment)			
8	System, process, product, service, or tool completed and "mission qualified" through test and demonstration in operational or other relevant end-to-end environment (functionality demonstrated)			
9	System, process, product, service or tool approved for deployment and use in decision making (transition complete)			

121 Human observers

Visual human observation is the most wide spread and technically simplistic way to collect data about marine litter. Human observers monitor beach/shoreline litter, floating litter, water column litter, seabed/seafloor litter, marine litter ingestion/entanglement and sources of marine litter. Human observation is most appropriate for macro- and mega-litter based on what is consistently visible to the naked eye (GESAMP, 2019).

Protocols and guidelines for monitoring beach/shoreline litter with visual observations vary widely by organization (UN Environment, 2016, Arctic Council, 2015; European Commission JRC, 2013; Opfer et al., 2012; Cheshire et al., 2009; NOWPAP CEARAC, 2007). For litter on the beach/shoreline surface, analysis is typically done through done through visual transects and counting collected items from beach cleanup efforts. Some organizations employ apps to facilitate data entry and reporting (e.g., NOAA Marine Debris Tracker App¹, European Environment Agency's Marine LitterWatch App², Ocean Conservancy's Clean Swell App³).

Human observers typically monitor floating litter using transects from ships. While different
methods are used, visual surveys from ships for floating marine litter have been used for almost
50 years and is an important source of data (GESAMP, 2019). These observations are generally
limited to mega- and macro-litter. Human observations of water column litter, and the analysis of

¹<u>https://marinedebris.noaa.gov/partnerships/marine-debris-tracker</u>

²https://play.google.com/store/apps/details?id=com.litterwatch&hl=en_US

³https://www.coastalcleanupdata.org/#download

meso-litter, require collection of materials with net tows. Observers sort items by type and size
 and analyze items by count and/or weight (GESAMP, 2019; Lebreton et al., 2018).

140 Underwater visual surveys by SCUBA divers can monitor and collect marine litter in shallow 141 waters. Distance and transect sampling is commonly used to measure marine litter density 142 (Galgani et al., 2013; Spengler, 2008; Buckland, 2001). This method is limited in its depth typically 143 to 20-30 m at most, requires SCUBA equipment and skilled observers, and is most appropriate 144 for macro-litter. In addition to professional surveyors, recreational divers also play a role in 145 surveys. For example, divers through Project AWARE's Dive Against Debris program are 146 encouraged to collect and report marine litter found underwater, and they are directed to collect 147 and observe at the same locations when they do for further data validation (GESAMP 2019).

148 Visual reporting of abandoned, lost, or otherwise discarded fishing gear is an important part of 149 monitoring entanglement and entanglement risk. Overall, monitoring entanglement has a 150 straightforward observational methodology, where it is important to note the size, location, 151 impacted species or habitat, as well the type of litter when reporting on entanglement (GESAMP 152 2019). Networks for reporting of entanglement and litter with entanglement risk include the NOAA 153 SOS Whale Network⁴ and the International Association of Geophysical Contractors Marine Debris and Ghost Net Initiative⁵. For example, in a study on pollution incidents reported by observers on-154 155 board fishing vessels in the Western and Central Pacific Ocean, 71 - 80% percent of the incidents 156 reported were documented as waste dumped overboard, and only 13 -17 % as abandoned, lost 157 or dumped fishing gear, depending on the type of vessel (Richardson et al. 2017). Increased observer coverage and data collection on-board ships such as fishing vessels may provide 158 159 essential information. Extending such observations to other vessels would provide more information about the quantities and types of pollution caused by shipping. Reporting pollution 160 incidents on-board using navigation logs would continue to be an appropriate form for use by an 161 162 expanded, cross-fleet observer program that is quality controlled and standardized to Global 163 information Systems (IMO, GOOS, etc.).

Human observation of the sources of marine litter include monitoring floating riverine inputs and leakage from waste sites. In Europe, the Riverine Litter Observation Network⁶ uses human observation of floating macro litter on the river surface. An added challenge to visual observations of floating litter in riverine environments include surface water speed and turbulence (González-Fernández & Hanke, 2017). In order to establish and estimate the link between land-based waste management and losses of waste into the marine environment, human observers are used to conduct terrestrial litter surveys of inland, riverine and coastal areas (Schuyler et al., 2018).

171 Data collected from human observers has been tested and used extensively for analysis in 172 regions including the North-East Atlantic, Baltic Sea and United States (Hardesty et al., 2017;

⁴<u>https://www.westcoast.fisheries.noaa.gov/protected_species/marine_mammals/disentanglement_network.html</u>

⁵<u>https://www.iagc.org/ghost-net-contact-form.html</u>

⁶<u>https://mcc.jrc.ec.europa.eu/main/dev.py?N=simple&O=394&titre_page=RIMMEL%2520observation%25</u> <u>20Network</u>

- 173 OSPAR, 2017; European Commission JRC, 2013). However, since standardized global 174 protocol/process for collecting data using human observations has not yet been implemented, we
- 174 protocol/process for collecting data using numar observations has not yet been impleme 175 have assigned human observers a readiness level of 8.

Readiness Level: Human Observers

8: System, process, product, service or tool completed and "mission qualified" through test and demonstration in operational or other relevant end-to-end environment (functionality demonstrated)

176 Microscopy

177 Meso- and micro-litter analyses use microscopy, which has applications for the monitoring of 178 beach/shoreline litter, floating litter, water column litter, seabed/seafloor litter, marine litter 179 ingestion and sources of marine litter. Sample collection for beach/shoreline litter is typically done 180 by collecting sediment with a spoon, spoon trowel or sediment core and passing the sample 181 through various sieves depending on the size class of interest (GESAMP, 2019). Floating/water 182 column samples require filtration either after the samples are collected or using in situ filtration 183 equipment (Choy et al., 2019; GESAMP, 2019). Samples for ingestion are typically taken from 184 dead organisms or from items associated with live animals such as regurgitated pellets, scat and 185 nesting materials (GESAMP, 2019). In addition, submersible microscopes (e.g. holographic 186 (4deep) or cytometric) can autonomously measure micro-plastics in typical outflow areas. The 187 use of digital holographic microscopy, matched with the continuous advancements in deep 188 learning techniques, can provide new opportunities for the use of coherent imaging systems in 189 many areas, including potentially microplastics pollution analysis (Rivenson et. al., 2019)

Microplastics are often subject to microscopic analysis. Methods for sample preparation and analysis vary widely based on sample type (e.g. water sample, sediment sample, ingested sample) and microscopy type (e.g. light microscopy, electron microscopy, etc.). Prior to analysis, microplastics undergo a chemical digestion to remove all organic matter from samples. Chemical digestion methods, along with their advantages and disadvantages, are broken down into three general categories: oxidative, acidic, alkaline/basic and enzymatic (Table 3).

Purification Method	Advantages	Disadvantages	
Oxidative Digestion	Inexpensive	controlled	
		 Several applications may be needed 	
Acid Digestion	• Rapid (24 hr)	• Can attack some polymers	
Alkaline Digestion	 Effective Minimal damage to most polymers 	• Damages cellulose acetate	
Enzymatic Digestion	EffectiveMinimal damage to most	 Time-consuming (several days) 	

Table 3. Advantages and disadvantages for extracting and purifying microplastics in organic matrices (GESAMP, 2019)

polymers	
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Methods for extraction of ingested litter from samples vary widely (Courtene-Jones et al., 2019;
 GESAMP, 2019; van Franeker et al., 2011; Zhao et al., 2016) and need a standardized approach
 to ensure consistency.

Another challenge for analysis of marine litter by microscopy is the potential for sample contamination. Careful procedures to avoid sample contamination during analysis are being implemented in research studies such as burning off contaminants from glassware, pre-filtering of reagents through glass fiber filters, handling of samples in laminar flow hoods and analysis of blanks to estimate potential contamination (GESAMP, 2019; Wesch et al., 2017; Zhao et al., 2016).

205 Analysis by light microscopy typically consists of counting microplastics and characterizing their 206 color, shape and sizes (Vandermeersch et al., 2015). Scanning electron microscopy can provide additional detail about the surface texture of particles but is only viable for analysis of small 207 208 quantities of samples do to the intensive processing and analysis required (GESAMP, 2019). 209 Overall, various microscopic approaches have trade-offs in terms of precision and accuracy of 210 material identification with some methods potentially underestimating microplastics pollution due 211 to false positives (Zarfl, 2019). As protocols for cleanly and accurately collecting, processing and 212 analyzing samples for microscopy are still being researched, we have assigned a readiness level 213 of 3 to light microscopy.

Readiness Level: Microscopy

3: Proof-of-concept (viability established).

214 Weighing

215 Technology for calculating the mass of marine litter is frequently used for the analysis of macro, 216 meso- and micro-litter beach/shoreline litter, floating litter, water column litter, seabed/seafloor 217 litter, marine litter ingestion/entanglement and sources of marine litter (Lebreton et al. 2018; 218 Lebreton et al., 2017; NOAA Marine Debris Program, 2015). Mega-debris is difficult to weigh, 219 compounded by the fact marine life heavily colonize mega-debris such as fishing nets. Challenges 220 for accurately weighing larger items include sand or debris entangled in the item and consistency in properly drying samples (GESAMP, 2019). Technologies for weighing macro- and meso-litter 221 222 tend to be simple including scales and drying ovens (Ryan et al., 2014).

223 Accurate mass (or gravimetric analysis) of micro-plastic requires proper sorting, extraction and 224 sample purification as outlined in the light microscopy section. Consistency in mass 225 measurements have shown to be consistent across labs when the same method is applied for 226 analysis (NOAA Marine Debris Program, 2015). One critical aspect to consider for mass 227 calculation is that most methods for microplastics analysis include an density separation step 228 where settled solids are discarded and only floating solids are analyzed (GESAMP, 2019; NOAA 229 Marine Debris Program, 2015). One consideration regarding this approach is that scanning 230 electron microscopy images have shown microplastics to have extensive fouling by microbial 231 communities (Zettler et al., 2013) which can cause plastic debris to sink (Andrady, 2011).

Accordingly, following proper steps prior to analysis of the weight of micro-litter will ensure that biofouling does not result in an underestimate.

As standardized approaches to removing sand, biofouling and water residue from samples have not been implemented and methods often vary widely or are not specifically reported, we have assigned a technology readiness level of 3 to weighing marine litter.

Readiness Level: Weighing Litter

3: Proof-of-concept (viability established).

237 Spectroscopy

Spectroscopy, the analysis of absorption or scattering of light, allows for the discrimination between organic and inorganic particles as well as various types of plastics as these materials produce different spectral signals (Lenz et al., 2015). While the waste management and recycling industries have utilized near-infrared spectroscopy to identify plastics since 1998, the utilization of spectroscopy for analysis of marine litter is fairly recent (Choy et al., 2019; Yu et al., 2019; Zhu et al., 2019; Zulkifley et al., 2014).

The focus of spectroscopy techniques such as Fourier Transform Mass Spectroscopy (FTIR) and Laser Raman Spectroscopy have been on the analysis of microplastics in the marine environment (Choy et al., 2019; GESAMP, 2019; Yu et al., 2019). New spectroscopic approaches such as staining and semi- or fully-automated spectroscopic analysis are currently under development (GESAMP, 2019). As sample collection, treatment and analysis methods are still in the research and development phase for the identification of marine plastics by spectroscopy, we have assigned a readiness level of 1 to spectroscopy.

Readiness Level: Spectroscopy

1: Basic research and/or development principles observed and reported.

251 Mass Spectronomy

252 Mass spectronomy measures the mass to charge ratio of ions in a sample, providing information 253 about chemical composition. Mass spectronomy technologies used for analysis of microplastic 254 particles include thermal extraction and desorption gas chromatography mass spectrometry 255 (TED-GC-MS) and pyrolysis gas chromatography mass spectrometry (Py-GCMS). These 256 technologies require the thermal degradation of plastics, separation of degradation products 257 through chromatography, and analysis of the products with mass spectronomy (GESAMP, 2019; 258 Dumichen et al., 2017). Other forms of mass spectronomy identify chemicals associated with plastic samples (GESAMP, 2019; Kuhn et al., 2018). For example, inductively coupled plasma 259 260 spectroscopy (ICP-MS) can identify metals associated with plastics, which can provide 261 information about hazardous metals associated with microplastics (Kuhn et al., 2018). As the 262 application of mass spectronomy to the analysis of marine litter is still in the research and 263 development phase, we have assigned a readiness level of 1 to mass spectronomy.

Readiness Level: Mass Spectronomy

1: Basic research and/or development principles observed and reported.

264 Visual Imagery and Video

Ship-based cameras, unmanned aerial vehicles (UAVs), balloons, high altitude pseudo satellites (HAPS), remotely piloted aircraft systems (RPAS) and satellites collect visual imagery. Fixedwing drones are increasing the distance and duration of drone flights. Blimps have the advantage of longer stable flights. Model studies should guide the use of both drones and blimps, as they are limited in terms of timing and spatial coverage.

270 The use of small aircraft, drones, unmanned aerial vehicles (UAVs), balloons, and satellites are 271 promising for the analysis of beach litter as well as sea surface litter. The advantages of aerial technologies include access to imagery from difficult to access beaches, more rapid, complete 272 273 beach coverage, and high-resolution imagery. Aerial imagery can be processed manually or 274 automatically using machine learning tools that are currently in development (Deidun et al., 2018; Martin et al., 2018; Moy et al., 2018). The various aerial technologies have strengths and 275 276 weaknesses based on cost and coverage. For example, UAVs offer ultra-high resolution imagery 277 but are prohibited to fly over people, limiting survey locations (Moy et al., 2018). Validation of 278 results using ground measurements is an important component for the development of these 279 technologies and further tests are necessary to understand the limitations and appropriate 280 applications of aerial technologies for monitoring of beach litter (Deidun et al., 2018; Moy et al., 281 2018).

282 Photographing marine litter using a camera fixed to the bow or mast of a vessel is an emerging approach for monitoring floating marine litter. High-resolution cameras or other sensors (e.g. 283 284 Lidar) mounted on ships can increase the observations on the floating litter and with the use of 285 Al, provide in situ observation in real time. Further testing is necessary to validate the consistency 286 of these sensors. In addition to ship-based cameras, autonomously operated vehicles (AOVs) 287 have the potential to monitor of surface/subsurface marine litter at sea. For example, Wave 288 Gliders that use wave energy for propulsion often have video cameras that can be used for marine 289 litter quantification (Galgani et al., 2013).

290 Remotely operated vehicles (ROVs), such as submarines or manned submarines, can view 291 seabed litter plastic or take core or surface samples to detect presence of microplastics and other 292 litter (Woodall et al., 2014). ROVs are often preferable for litter surveys on continental slopes, 293 uneven terrain, or the deep seafloor. Litter can accumulate in certain locations on the seafloor 294 such as coastal canyons, as well as areas with steep slopes, rocky bottoms, or ocean trenches. 295 These areas would specifically benefit and often necessitate the use of ROVs to observe and/or 296 collect marine litter. Video cameras can record high-resolution images while other light devices 297 such as lasers can measure transect areas, object size and distances on the seafloor. Proposed 298 learning algorithms aimed at more successful vision detection of litter will be useful in exploring 299 and mapping litter by autonomous underwater vehicles. While ROVs have proven useful, the high 300 cost of operation as well as the specific skill set required for both operation and observation 301 remain a limitation.

302 There are various technologies to estimate riverine sources directly with varying levels of effort,

scale and accuracy. Drone or field surveys of river mouths can assess accumulated plastic.
 DRONET⁷ is developing a standard methodology for drone-based surveys of plastic.

Visual imagery and video are used in relevant environments but do need standardization.
 Accordingly, we have assigned a readiness level of 5 to the analysis of marine litter by visual
 imagery and video.

Readiness Level: Visual Imagery and Video

6: Validation of system, process, service or tool in relevant environment (potential demonstrated).

308 Synthetic Aperture Radar

324

309 Synthetic Aperture Radar (SAR) provides high-resolution image of the radar reflectivity of an 310 observed scene. SAR has potential to provide information about expected locations of marine 311 litter and detect mega-litter on the water's surface but is sensitive to parameters such as surface 312 roughness. Waves (surface and internal), winds, currents, upwelling and several other 313 oceanographic phenomena influence surface roughness. Interestingly SAR is also sensitive to 314 presence of substances that can dampen the surface waves, such as oil spills, algal blooms or 315 any other substances influencing the water surface tension and often defined surfactants.

Two main mechanisms could lead to the detection of plastics on water surface: a) detection of large plastic debris and b) detection of microplastics or small concentration of plastic pieces in the water column.

- a) Plastic debris: SAR can detect large metallic objects on the sea surface; however, it is still unknown if large concentration of plastic debris can produce changes in pixel brightness (i.e. increase or decrease backscattering). In an experiment by Topouzelis & Papakonstantinou (2019), Sentinel-1 detected large squares of plastic bottles but not detect all experimental squares.
- b) Microplastics: Plastic in the ocean is heavily colonised by microbes that produce substances and biofilms (surfactants). Marino et al. (2019) showed that surfactants such as sea-slicks and biofilms were visible on Sentinel-1 images as dark curved stripes. They hypothesized these "stripes" occur because of the microbial colonisation of micro-plastics. Additionally, ocean colour images showed very low chlorophyll-*a* concentrations, which suggests these features were not produced by algal blooms. These signatures present dependency on a range of winds, appearing at wind speeds up to 10ms⁻¹.

⁷https://www.theplastictide.com/blog-1/2018/4/22/launching-the-marine-litter-dronet

As the use of SAR for marine litter, monitoring is still in the research and development phase, we have assigned a readiness level of 1 to SAR.

Readiness Level: Synthetic Aperture Radar

1: Basic research and/or development principles observed and reported.

334 Multispectral and Hyperspectral imaging

Satellite remote sensing of beach litter and sea surface litter is currently in the research and 335 336 development phase, primarily repurposing missions that were not originally designed for litter 337 monitoring. Satellite imagery relevant for remote sensing of beach litter includes visual imagery 338 and spectral analysis. Commercial satellite imagery is the primary technology of relevance when 339 detecting litter on beaches and rivers, given the very high-resolution needed to discern this litter. 340 For spectral analysis, research activities to map the spectral signatures of marine plastics are 341 underway and show promise for potential characterization of marine litter on beaches (Acuna-342 Ruz et al., 2018; Garaba & Dierssen, 2018). Preliminary studies have shown the synergetic use 343 of satellite images and UAVs to detect floating litter (Topouzelis et al, 2019). Current high-344 resolution satellite sensors can monitor floating mega litters. Statistical indicators and density heat 345 maps can be derived in accordance to predefined requirements. Future satellite sensors may 346 show improved functionality for measuring marine litter on beaches but these concepts are still in 347 development. Given the current state of technology and applications research, we have assigned 348 a technology readiness level of 1 for satellite remote sensing of beach and sea surface litter.

349 Multi-spectral satellite remote sensing of plastic in the water column is currently only possible for 350 larger elements on or close to the water surface, and under good atmospheric conditions (no clouds). The Copernicus Sentinel 2 constellation is likely to be the most valuable existing mission 351 with freely available data and relatively high-resolution (10m GSD) spectral radiometry with global 352 353 coverage. Commercial higher (i.e. very high-resolution) satellite data are available for purchase but have low temporal resolution. In all cases, cloud cover and sea surface conditions affect the 354 355 detection of debris no matter the resolution. An initial assessment of observation requirements for 356 measuring marine plastic debris from space can inform further sensor development (Martínez-357 Vicente et al, 2019).

358 As the plastic elements sink or decompose, the likelihood of detection with remote sensing 359 methods decreases significantly. There are some promising methods for looking at anomalies or particular signatures to identify ocean plastic. For example, ESA's Sentinel-3 satellite has an 360 ocean color imager that is potentially detecting unique signatures or large agglomerations of 361 362 plastic. However, this sensor only images at 300 m resolution, and even with a revisit rate of almost every 2 days, it will not detect most plastic of interest. Commercially available 363 364 hyperspectral sensors such as HyMap may be more suited for detecting plastics (Garaba et al., 365 2018; Goddijn-Murphy et al., 2018).

As multispectral and hyperspectral imaging is still in the research and development phase, we have assigned a readiness level of 1.

Readiness Level: Multispectral and Hyperspectral imaging

1: Basic research and/or development principles observed and reported.

368 GPS tags and transmitters

369 Debris tagged with GPS tags and transmitters can provide direct tracking of floating marine items. 370 Compiling trajectories of marine litter can reconstruct the path of plastic from source to fate. Argo tracking sensors, or GPS devices, can track debris but remain too expensive to implement widely. 371 The upcoming Kineis constellation from CLS⁸⁹, or a low-tech solution such as PandaSat¹⁰ 372 proposed by WWF, could provide more affordable solutions in 2021. Large floating plastic debris 373 374 is tagged and tracked using satellite trackers deployed from vessels in the Pacific². However, these do come with the caveat of introducing electrical trash into the environment. For areas close 375 376 to shore, cheaper, accurate IoT (internet of things) technology can be deployed using 377 conventional 3G networks, or Lora systems to provide better coverage where mobile data is lacking. Deployment of Iridium satellite connectivity is prohibitively expensive. Accordingly, the 378 379 use of GPS tags and transmitters to monitor the trajectory of marine litter have been assigned a 380 readiness level of 1.

Readiness Level: GPS tags and transmitters

1: Basic research and/or development principles observed and reported.

381 HF Coastal Ocean Radar

382 The primary product of HF Coastal Ocean Radar (HFR) is mapping of surface currents within 383 200km of the shore, and some systems produce maps of significant wave height to about one-384 third of the range for currents. The spatial and temporal resolutions vary in the range 300m -385 20km, and 5min - 3hour respectively, depending on the operating frequency and whether the 386 system is based on a wide aperture (for high resolution) or a compact configuration. Wind directions are observed, and U10 wind speeds are derived from the significant wave height 387 388 measurements. HFR systems are located on land and usually run continuously, producing data 389 with latency times of the order of minutes to data hubs and archives.

390 HFR is useful for mitigating the effects of plastics in the ocean in three ways.

⁸<u>https://mcc.jrc.ec.europa.eu/main/dev.py?N=simple&O=394&titre_page=RIMMEL%2520observation%25</u> <u>20Network</u>

⁹https://www.cls.fr/en/kineis-unique-constellation/

¹⁰https://space-science.wwf.de/project/pandasat/

- a) The 2D field of surface current vectors can be analysed to identify locations and properties
 of meso-scale eddies in coastal waters, and convergences in the surface field where
 down-welling occurs to concentrate surface debris. The advantage of 24/7/365 data is
 that it can be used for time scales of tidal effects up to seasonal variability.
- b) The high-resolution configurations provide Langrangian Tracking of parcels of surface
 water and provide insights into horizontal diffusion and Coherent Lagrangian Structures
 that set boundaries to surface drifters.
- 398 c) Maps of wind speed and directions improve the tracking of floating objects.

HFR installations are generally in areas of environmental or social concern where direct
 measurements are useful, but realistically HFR installations are sparse and their main use will
 most likely be to provide insights into accumulation dynamics, and to validate dynamical models.

402

Readiness Level: HF Coastal Ocean Radar

1: Basic research and/or development principles observed and reported.

403 Modeling

404 The abundance of litter in the marine environment has steadily increased over the last few decades and recent studies have showed relatively high concentrations of microplastics particles 405 (particles up to 5 mm) in coastal sediments (Browne et al., 2011). By various means (e.g. transport 406 407 accidents, inappropriate disposal of packing materials as well as microplastics beads used in 408 cosmetics), different types of plastics enter the water column, with serious ecological implications 409 for marine organisms, such as fatal entanglement in macro plastics or the ingestion of 410 microplastics by fish and birds (Leslie et al., 2011). With these concerns in mind, many questions 411 arise: Which areas probably have the highest concentrations of plastic litter (hotspots)? What are 412 the transport routes of plastic litter in the water bodies and in which areas do they end up? How are different types and sizes of plastic behaving? The fate of the plastics in the marine 413 environment is also uncertain: they might accumulate or degrade due to fragmentation and 414 415 microbiological decay. To address these issues, it is essential to develop an integrated approach 416 that highlights the role of transport and fate models to provide the means to include different 417 processes and investigate their relative contribution.

418 High-resolution hydrodynamic models are considered critical to resolving the key marine litter 419 questions as they offer a platform that can integrate (and give much greater value to) the very 420 sparsely available observation data (Martinez-Vicente et. al., 2019). An analogous example is the 421 assimilation of the relatively sparse Argo float data into the Mercator global forecast, greatly 422 improving the performance and reliability of the model (e.g. Turpin et. al., 2016). There are 423 certainly technical hurdles e.g. establishing common currency, metrics and uncertainties between 424 specific observation types and models: establishing the necessary sub-mesoscale global nests 425 of models required for appropriate simulation of litter dispersion and accumulation (D'Asaro et al 426 2018). The combination of high-resolution numerical simulations and sparse observations will427 certainly play a major role in better understanding global dispersion and accumulation.

428 Numerical modeling of beach litter primarily aims to forecast litter accumulation on beaches to 429 support cleanup efforts and identify potential hot spots (Granado et al., 2019; Haarr et al., 2019; 430 Yoon et al., 2010). One challenge for predicting beach litter accumulation is the fine resolution 431 required, ranging from a few 100 m to 1 km, which can be limiting for forecasting along shorelines 432 that lack high resolution data and oceanographic models (Critchell & Lambrechts, 2016). 433 Combining local and regional high-resolution circulation models with satellite-observed surface 434 debris could provide a basis for forecasts of beaching events. This approach is discussed for 435 forecasting beaching of Sargassum and could be used for marine debris. Research efforts to 436 develop and improve beach litter forecasts through new techniques, such as machine learning 437 and GIS-based tools, are underway but are still in the research and development phase (Aydin & 438 Butler, 2019; Critchell et al., 2015; Critchell & Lambrechts, 2016; Granado et al., 2019; Yoon et 439 al., 2010).

440 A very important auxiliary input for modeling the trajectories of plastics in the ocean are ocean surface currents. The output of regional and global Ocean General Circulation Models (OGCM) 441 can map and predict past and future trajectories of marine plastic. This can assist in identifying 442 443 sources and accumulation locations (van Sebille et al., 2012). The data used to generate these 444 models include wind speed and direction, mapped sea level anomaly (MSLA), and sea surface 445 temperature, which are available almost daily. These models can be fine-tuned using data from 446 buoys, or GPS tracked plastic pieces (GESAMP, 2019; van der Mheen et al., 2019). The TOPIOS 447 project (http://topios.org/), among others, is developing three-dimensional modeling of marine plastic. To improve the knowledge regarding the distribution and possible accumulation zones of 448 marine plastic litter in the North Sea and within the CleanSea project, microplastics transport is 449 450 simulated with a hydrodynamic-based particle tracking model. The model calculates how the 451 position of microplastic particles evolves in time from their release until the end of the simulation. 452 The settling velocity of the plastic particles in the water system is dependent on the ambient 453 conditions (temperature/salinity) as well as on the particle characteristics (density/size/shape). 454 The developed model is generic and can be extended to other European regional 455 seas.(http://cleansea.eu) (Stuparu et al., 2015).

Modeling is a promising approach to improve the existing knowledge regarding the litter dynamics 456 457 in marine environments and obtain new insights in areas where information is lacking (Thompson 458 et al., 2009). For example, the data regarding the abundance of plastic litter on the seabed is very 459 limited. Also, it is assumed that substantial quantities of plastic litter has accumulated in the natural environment due to the continued input of marine litter over the last decades; however, 460 461 the location of possible accumulation areas is not well delimited. The modelling approach provides a link between the source and the fate of microplastics. By describing microplastics 462 463 pathways, an overview of estimated accumulation areas is possible and can be a helpful tool for 464 guided monitoring and data collection campaigns.

As modelling of marine litter is still in the research and development phase, we have assigned a readiness level of 1.

Readiness Level: Modelling

1: Basic research and/or development principles observed and reported.

Table 4. Summary of technology for marine litter monitoring

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469	

Technology	Readiness Level	Size Class	Application Area	Pros	Cons
Human eye	8	Mega- and macro-litter	 Beach/shoreline litter Floating/water column litter Ingestion of marine litter/entanglement Sources of marine litter 	 Advanced technology not required Can be implemented by citizen science volunteers Well-developed methods and studies exist 	 Depends on regular sampling and commitment of human resources Dependent on human error Resource and time intensive Requires global agreement and implementation of comparable methods
Weight	3	Mega-, macro-litter and micro- litter	 Beach/shoreline litter Floating/water column litter Ingestion of marine litter/entanglement Sources of marine litter 	 Allows for relatively quick and simple analysis of beach litter quantities Can be linked with voluntary beach clean-up efforts 	 Beach litter water content, sand and biofouling can bias results Presence of light weight items such as Styrofoam and wrappers may lead to underestimates of beach litter severity
Microscopy	3	Meso- and micro-litter	 Beach/shoreline litter Floating/water column litter Ingestion of marine litter/entanglement Sources of marine litter 	 Provides information about smaller classes of litter Provides important information about ingestion 	 Sample collection and analysis has risk for contamination Sample preparation and analysis varies and requires agreement and implementation of comparable methods Time consuming Human error in identifying material types

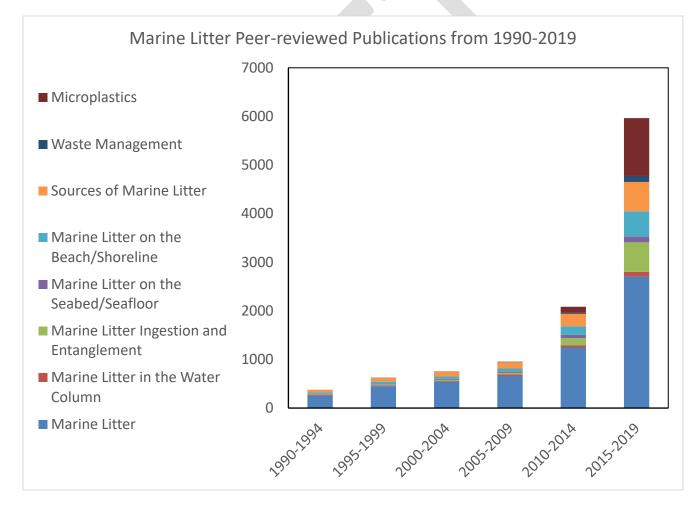
Spectroscopy	1	Meso- and micro-litter	 Beach/shoreline litter Floating/water column litter Ingestion of marine litter/entanglement Sources of marine litter 	 Provides information about types of plastics in a sample Can provide information about the fate and breakdown of litter 	 Time consuming and expensive Consistent sample preparation methods not agreed upon Limited number of samples can be analysed
Mass Spectronomy	1	Micro-litter	 Beach/shoreline litter Floating/water column litter Ingestion of marine litter/entanglement Sources of marine litter 	• Provides information about chemicals associated with litter (such as contaminants)	 Time consuming and expensive Limited number of samples can be analysed
Visual Imagery and Video	6	Mega- and Macro-litter	 Beach/shoreline litter Floating litter Ingestion of marine litter/entanglement Sources of marine litter 	 Simple and affordable technology Variety of systems available including cameras attached to air planes, drones, and submersibles Access to hard to reach beaches 	 Limited to large debris items Image processing can be time consuming
Hyperspectral Imaging	1	Macro-litter	 Beach/shoreline litter Floating litter Sources of marine litter 	 Ability to survey large areas in short periods of time by using satellites, planes or drones Access to hard to reach beaches 	 Regulatory issues can restrict areas of operation of airborne platforms Limited to large debris items Imagery can be limited by weather conditions Image processing can be challenging and time consuming Further research and

					validation required
Synthetic Aperture Radar	1	Mega-litter	 Beach/shoreline litter Floating litter Sources of marine litter 	 Ability to survey large areas in short periods of time by using satellites, planes or drones New sensors and processing tools are in development Can be used to identify convergent zones where marine litter accumulation is likely 	 Most high resolution data is commercial Limited to large debris items Processing data is resource intensive
GPS tags and transmitters	1	Mega- and macro litter	 Floating/water column litter 	 Can provide information about pathways of marine litter Data can improve modelling and source identification efforts 	 Iridium satellite connectivity is prohibitively expensive to be deployed into the sea Introduces electronic litter into the marine environment
HF Coastal Ocean Radar	1	Mega-litter	• Floating/water column litter	 HFR installations are generally in areas of environmental or social concern where direct measurements are useful Provide insights into horizontal diffusions 	 HFR installations are sparse
Modelling	1	Mega, Maco- , Meso- and Micro-litter	 Beach/shoreline litter Floating/water column litter Ingestion of marine litter/entanglement Sources of marine litter 	 Predictive ability can support identification beach litter hot spots in areas lacking on the ground data New processing technologies such as machine learning and GIS- based tools show promise 	 Many oceanographic models are not at a high enough resolution to predict beach litter Running of models can be resource intensive, requiring high levels of computing resources Additional research and

	testing required before models can be used for decision making

471 Section 2: Existing marine litter databases and major 472 datasets

473 In order to make accurate recommendations about how to effectively develop a global monitoring 474 platform and inform action for marine litter, it is important to understand what existing platforms and data are available. In the past two decades, there has been a steady increase in the amount 475 476 of data, reports, and studies related to marine litter. In the past five years alone, the level of information and work focused on marine litter and subsequent areas of interest has spiked. 477 478 Through expert input, the areas of interest within marine litter include: marine litter found in the 479 water column, marine litter ingestion and entanglement, marine litter on the seabed/seafloor, 480 marine litter on beaches and shorelines, sources of marine litter, waste management, the plastic life cycle and microplastics. Figure 1 shows an analysis based on a Web of Science Search that 481 482 highlights the trends of marine litter research over the past 20 years.



483

Figure 1. Research articles published about marine litter and sub categories of marine litter: beach litter, ingestion and entanglement, seabed and seafloor, water column, and sources of marine litter. Data was collected using a Web of Science search and analysis from 1990-2019.

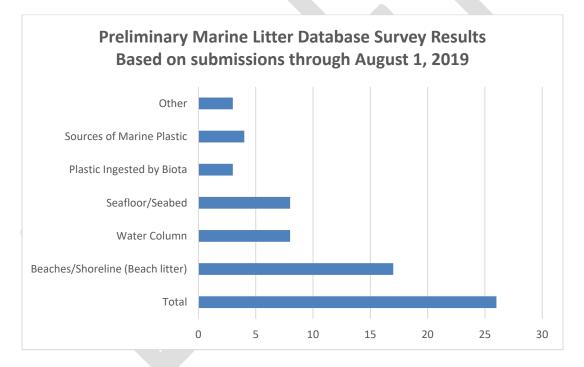
As research and data about marine litter has become more readily available to global audiences,
 databases house these large repositories of data to make them useful to decision makers

(managers, policy makers, etc.) and the scientific community. After seeking input from groups,
research teams, private, and public sector organizations worldwide, we have compiled an
extensive, though not complete, summary of available marine litter databases and datasets.

489 The live results of the inventory can be viewed <u>here</u>.

This inventory highlights the specific areas of interest the data covers, the data management protocols of the data, collection and analysis methods, region(s) the data covers, and any other relevant information.

493 Based on primary survey results obtained from 27 databases and datasets, the vast majority of 494 the databases contained information regarding beach and shoreline, followed by seafloor/seabed, water column, sources of marine plastic, and plastic ingested by biota. A number of the beach or 495 496 shoreline monitoring databases work in conjunction with citizen science groups to collect litter 497 data. Projects and programs from governmental bodies, NGOs, private enterprises, research 498 institutions and universities from across the world are working to solve the marine litter crisis. Listed below (Figure 2) are a few examples of marine litter databases ranging in scope, 499 institutional goals and litter area of interest. 500



501

Figure 2. Results of the survey conducted for this paper about marine litter databases and datasets. Participants provided information about their main areas of focus of data collection and analyses were in regards to marine litter.

502 The **NOAA Marine Debris Monitoring and Assessment Project** (MDMAP, Figure 3) run by the 503 NOAA Marine Debris Program focuses its efforts on beach litter collection and counting. The 504 database collects their data with the help of citizen science efforts from partner organizations and 505 volunteers conducting shoreline surveys. The database accepts data from shoreline surveys 506 using the <u>NOAA protocol</u> from anywhere in the world, but most of the data is collected from the 507 US Coastal Zone, and predominantly the west coast. The MDMAP collects data for debris larger 508 than 2.5 cm in the longest dimension. Within the database, both flux accumulation/flux data and 509 standing/concentration data are available, both collected using surveys of specified areas of the 510 shoreline. NOAA staff publish data after review and verification. Anyone can request access to 511 the database, wherein NOAA approves requests and then all verified data is 512 reportable/downloadable.

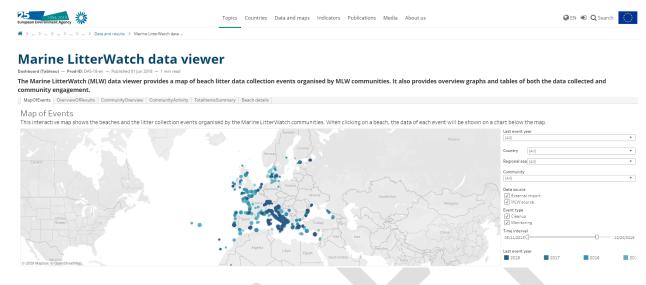
	Refer to the "Get Started Toolbox" for more MDMAI
Iome Custom Data Reporting Resources	Logged in as: Emily Smail Change Password
Your Sites:	Add a New
	New to MDMAP? Checkout the Get Started Toolbox
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Figure 3. The <u>Marine Debris Monitoring and Assessment Project Database</u> (MDAMP v.2.0.18, viewed on November 20, 2019).

514 Marine LitterWatch (Figure 4), operated by the European Environment Agency, focuses on litter 515 on the coastline of most of Europe in order to "strengthen Europe's knowledge base and provide support to European policy making." The database includes a total count and itemized breakdown 516 517 of all items collected/observed. Additionally, information on the specific locations sampled include 518 total cleanups, average amount collected per cleanups, and the organization who aided with the 519 cleanups Marine LitterWatch functions as a mobile application for volunteer organizations and 520 Regional Seas programs in Europe to participate in cleanups. The application is used to survey 521 a given area of clean up based on specific items broken into categories of plastic, cloth/textile, 522 and glass/ceramics with sub-sections within those categories. At present, the Marine LitterWatch 523 data represents the effort made by the communities collecting it and is therefore illustrative of the amount and type of items found on the surveyed beaches. Additional handling is required for 524 525 using this data for further statistical purposes. The datasets are also not quality checked or

526 monitored once the data is input into the survey. The EEA wide policy on data management, 527 access, and sharing, open, free. readily available access data. is to https://www.eea.europa.eu/legal/eea-data-policy/data-policy 528



529

Figure 4. The Marine LitterWatch Data Viewer (viewed on November 20, 2019).

530 The Deep-Sea Debris Database (Figure 5), operated the Japan Agency for Marine-Earth 531 Science and Technology (JAMSTEC), is a composite of filmed and photographed debris found 532 on the seafloor off the coast of Japan and the Pacific. The images include location, date observed, 533 type of debris (plastic, glass, rubber, cloth, etc.) attributes, whether organisms were interacting or near the debris, the characteristic of the sediment, as well as the location depth of the debris. The 534 535 database has a total quantity of debris observed broken down by type of debris. Cameras 536 deployed below the surface of the water to the seabed film a specified area to observe debris. 537 The raw data and images are available on the database. Some of the data are labeled and 538 protected as intellectual property, but otherwise the data is open and available for others to use. In regards to the ownership of the data, the data and samples collected with JAMSTEC facilities 539 540 and equipment belong to JAMSTEC. Organizations, institutes and researchers for scientific and 541 educational purposes can use data and samples managed by JAMSTEC. They promote the use of their data to help industrial and society. Data used by industry may be charged, but all other 542 scientific and educational use of data will be free charge. 543

深海デブリデータベー)	7		Carlo Carlo								Enter keywords	
Deep-sea Debris Datab				Home 🔳	Data List ⑦ How to Use 🔇						1	Advance
	-			Home	Data List () How to ose (g Japanese						_
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ALL Data(3647)		List Map	P									
📴 Debris(3647) 🗃 🔛 Plastic(1419)		New/Upd	ated data									
B 🔚 Glass(63)		Image	Types	Date	Area	Shooting depth (m)	Types of seabed sediments	Organisms	Accumulation Point			
🕸 🔚 Rubber(51)		1	18-liter square can	1983/08/11	Sea of Japan/Toyama Bay		Sandy mud	Present		⊕Max		
🕮 🔛 Metal(507)			18-liter square can	1994/04/06	Sagami Bay	604	Sandy mud/Rocks			@Max		
B 🔤 Natural debris(439) B 🥁 Cloth(67)		>	18-liter square can	1999/05/30	Nansei Islands/Ishigaki Knolls	1525	Sandy mud			@Max		
Paper/Lumber(40)			18-liter square can	2000/12/01	Nankai Trough	532	Sandy mud			@Map		
🖲 🔚 Other artificial debris(1424)			18-liter square can	2001/11/15	Suruga Bay	401	Sandy mud			@Mag		
Unidentified debris(219)			18-liter square can	2002/07/28	Nankai Trough/Hongusan Ca	1133	Sandy mud			@Max		
			18-liter square can	2002/07/29	Nankai Trough/Hongusan Ca	1185	Sandy mud			@Man		
			18-liter square can	1989/05/16	Sagami Bay	1134	Sandy mud			@Map		
			18-liter square can	1991/08/21	Off Rumoe/Musashi Bank	370	Sandy mud			⊕Max		
			18-liter square can	2002/06/04	Kumano Trough	1971	Sandy mud			@Max		
			18-liter square can	2002/06/09	Kumano Trough	1965	Sandy mud			@Map		
	1		18-liter square can	2003/07/28	Nishi-Tsugaru Basin	2103	Sandy mud			@Mag		
			18-liter square can	2004/03/25	Philippine Sea	5955	Sandy mud			@Map		
			18-liter square can	2004/03/25	Philippine Sea	5955	Sandy mud			@Max		
		F	18-liter square can	2004/03/25	Philippine Sea	5952	Sandy mud			(O Map		
			18-liter square can	2004/03/25	Philippine Sea	5955	Sandy mud			<u> </u>		
			18-liter square can	2004/03/25	Philippine Sea		Sandy mud			OMax		
		Note	18-liter square can	2005/01/16	Sagami Bay	1406	Sandy mud	Present		() Mar		
			18-liter square can	2007/08/08	Kumano Trough	2056	Sandy mud			<u> </u>		
			18-liter square can	2009/05/01	Sagami Bay	1124	Sandy mud			@Max		
			18-liter square can	2010/08/23	Sagami Bay		Sandy mud	Present		@Max		
		F	18-liter square can	2002/08/11	Nankai Trough	2476	Sandy mud			@Mag		
			18-liter square can	1996/09/07	Nankai Trough	1259	Sandy mud/Bedrock			<u> </u>		
			18-liter square can	2001/11/05	Suruga Bay	789	Sandy mud	Present		@Max		
			18-liter square can	1999/09/13	Suruga Bay	344	Sandy mud			@Max		
			18-liter square can, Other a	2001/11/08	Sagami Bay/Sagami Trough	1446	Sandy mud			Common Marco		

544

Figure 5. The Deep-sea Debris Database (viewed on November 20, 2019)

545 The Coastal Observation and Seabird Survey Team (COASST) is a part of the University of 546 Washington and focuses on beach litter, ingested litter, as well as sources of this litter in parts of 547 Washington and Oregon, USA (Figure 6). This database provides information on counts and itemspecific characteristics (item type, color, material, size, loops, floppiness, brands, logos, 548 languages, shininess, biofouling, weathering, intactness etc.) of items observed during 549 standardized beach surveys following specific protocols for sampling debris between 2.5mm and 550 551 2.5cm; 2.5cm and 50cm; and greater than 50cm, respectively. To collect this information, trained citizen scientist volunteers collect data following the standard protocols developed by COASST. 552 "By collaborating with coastal residents, natural resource management agencies and 553 554 environmental organizations, COASST works to translate long-term monitoring into effective marine conservation solutions and responsible marine stewardship." The raw data from 555 556 collections are unpublished but are available upon request. The team requires a data use 557 agreement to establish terms of use and the data is quality analyzed and controlled by the team. 558 Additionally post-processing procedures ensure the validity of the data.

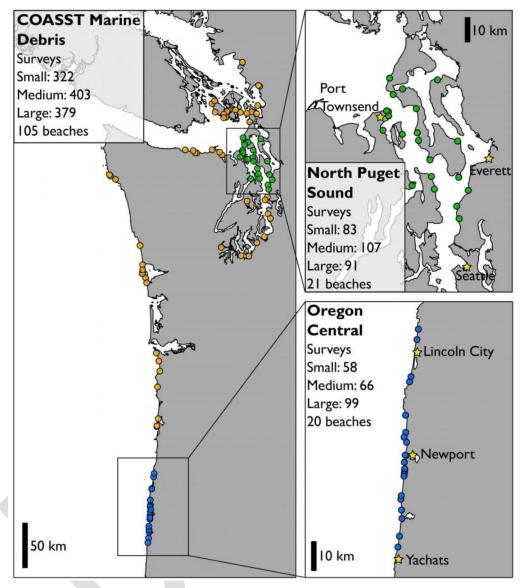


Figure 6. Map showing the locations of data collected for the COASST program as of 2018 (Image credit: Hillary Burgess).

559 The European Marine Observation and Data Network (EMODnet Chemistry) Marine Litter 560 Database (https://www.emodnet-chemistry.eu/marinelitter) is part of EMODnet Chemistry, one of the seven thematic portals of EMODnet¹¹ (Figure 7). EMODnet Chemistry is operated at a 561 European scale through a network of National Oceanographic Data Centers and monitoring 562 563 agencies coordinated by OGS (Istituto Nazionale di Oceanografia e di Geofisica Sperimentale), an internationally oriented public research institution in Italy. The Marine Litter Database (Molina 564 565 Jack et al., 2019) offers the first pan-European data on marine litter, namely beach litter (Addamo 566 et al., 2018; European Commission, 2018), floating microlitter in the water column and litter on

¹¹ www.emodnet.eu

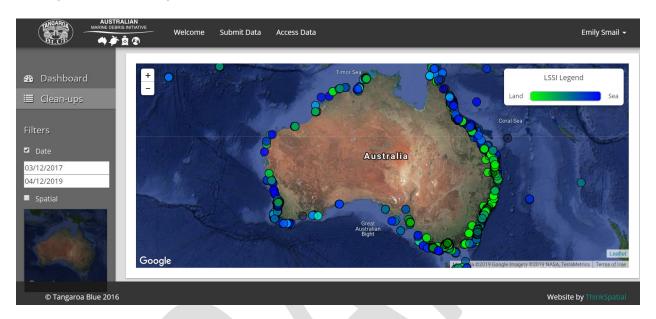
567 the seafloor from fishing trawls. National and regional marine monitoring programmes from across European member states and bordering countries (e.g. Ukraine, Russia, Georgia and 568 569 Montenegro) assemble the data. The database is a strong collaboration with the Joint Research Centre (JRC) of the European Commission to ensure it compiles data requested by the European 570 Commission's Marine Strategy Framework Directive (MSFD) and by the Regional Sea 571 572 Conventions. It builds on existing databases, mainly OSPAR/MCS for beach litter in NE Atlantic 573 and ICES DATRAS for sea floor litter in NE Atlantic and Baltic Sea. Additionally, it hosts litter data 574 from wider monitoring and observing programmes, including scientific research, citizen science 575 and specific initiatives like samples collected from racing yachts, in partnership with the Volvo Ocean Race. The database includes data quantities and types of beach litter, sea floor litter and 576 577 floating micro-litter. The online EMODnet Chemistry platform offers a products viewer and access 578 service where marine litter geospatial data can be discovered, viewed and visualized as pan-579 European map layers. Datasets are available for download together with metadata to describe the data collection and acknowledge the original data collector. Generally, there are no restrictions 580 to access or reuse of the raw data available in the database (https://emodnet-581 chemistry.maris.nl/search), and where specific access requirements exist e.g. for particular 582 583 countries, this is specified in the database.





Figure 7. The <u>EMODNET Chemistry – Litter viewing and downloading service</u>. Map showing the location of beaches (legenda specify the different reference lists used to describe litter items) and seafloor litter surveys (legenda specify the different sampling gears used during the surveys) (viewed on November 25, 2019).

The Australian Marine Debris Database is organized by an Australian NGO called the Tangaroa 585 Blue Foundation as part of the Australian Marine Debris Initiative (Figure 8). This database 586 587 collects information on beach litter primarily on the Australian Coast with some data also available from the Asia Pacific and Oceania region. 140 categories based on material type and name are 588 589 used to describe debris. To collect data, volunteers perform beach clean-ups of coastal areas on 590 both land (beaches) and sea (near-shore surface levels). Volunteers count an itemize litter based on the specifications. Reports include approximate weight of litter and length of area of cleanup 591 592 as well as optional photographs. Data are vetted before approval. The database has an open access policy that allows community groups, schools and partner organizations to generate a specific set of data reports to assist in identifying marine debris trends and creating local source reduction plans. Acknowledgement of both the Australian Marine Debris Initiative and the data contributor is mandatory for any public use of the data for any purpose. This information is available by emailing info@tangaroablue.org with both the location and date of the data requested. Additionally, there is a data management system in place – submitted data queues in holding folder for vetting before acceptance into the database.



600



601 The TIDES (Trash information and Data for Education and Solutions) database, operated by the Ocean Conservancy, focuses on cataloguing and collecting litter found on beaches, shorelines 602 603 and in the water column (Figure 9). This database contains information on the total mass of trash 604 collected, the total number of trash bags filled, the total distance of area covered. An itemized list 605 of total trash collected broken down by most likely to find items, fishing gear, packaging materials, and other items such as personal hygiene products, smaller trash items (less than 2.5 cm) and 606 607 items of local concern. The public can collect data and report debris as land based trash, 608 underwater, or trash collected by watercraft. The main form of collection of information comes 609 from annual international cleanup events and a mobile app called Clean Swell are used to collect and itemize trash found near and in bodies of water. Groups or individuals collect trash and tally 610 611 the total number of specific items found as well as the overall mass of the total trash. The data is 612 recorded to the TIDES database and publicly available, though there is no specific 613 database/dataset management protocol, but site-specific datasets are available and archived 614 from past years data collected.



615

Figure 9. The <u>Trash information and Data for Education and Solutions Database</u> (viewed on December 3, 2019)

616 Litterbase is a global portal organized by the Alfred-Wegener-Institut Helmholtz-Zentrum für Polar and Meeresforschung (Figure 10). This portal has information on litter present on 617 618 beaches/shorelines, the water column, the seafloor, ingested plastics scoping across oceans, rivers, lakes, and other inland waters. In the portal, information can be found regarding: 619 620 guantitative geo-referenced data on aquatic and terrestrial debris; microplastics and nanoplastics 621 from the peer-reviewed literature; quantitative geo-referenced data on effects of marine debris; 622 microplastics and nanoplastics on aquatic and terrestrial biota from the peer-reviewed literature 623 (field studies); and reports of impacts of marine debris, microplastics and nanoplastics on aquatic 624 and terrestrial biota from the peer-reviewed literature (laboratory studies, species list).

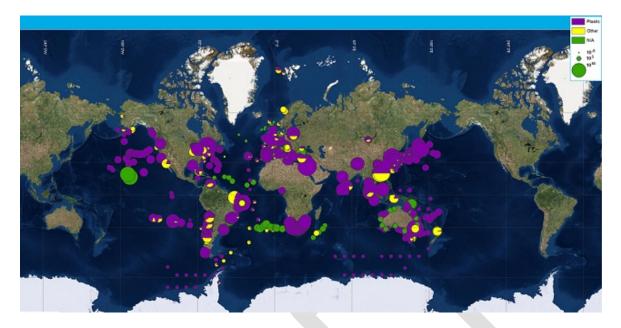
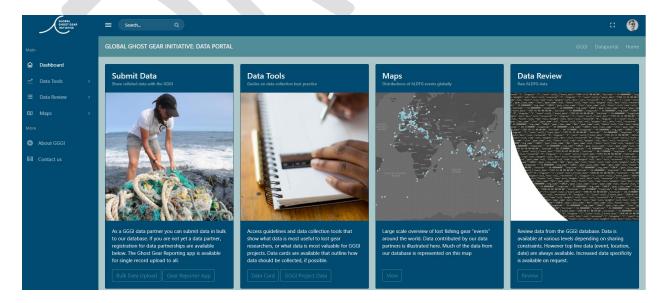


Figure 10. <u>Global map of litter distribution in Litterbase (Image source: https://www.maritime-executive.com/article/ocean-litter-portal-established)</u>

626 The Global Ghost Gear Initiative: Data Portal is organized by the Global Ghost Gear Initiative 627 of the Ocean Conservancy (Figure 11). This initiative works to find fishing gear that has been lost, 628 abandoned, or otherwise discarded. This initiative works with global partners including the fishing 629 industry, private sector, NGOs, academia, and governing bodies. The data portal has data from 630 the US coasts, the European Coasts and the Asia-Oceania Pacific region. In the data portal, 631 information about different types of "ghost gear" is available including found nets, lines, pots and traps. Total counts and location, dates, gear class. The data is collected using volunteers and 632 633 partners that upload data to their mobile application "GGGI Ghost Gear Reporter." Bulk upload is 634 available on their website as well. Additionally, all data is available on the data portal, and specific 635 measurements are available upon request as well.





639 Section 3: Indicators and Applications of Technologies

- 640 Marine litter indicators address the following:
- 641
 1. What is the abundance, distribution and composition of marine litter, and are these
 642 attributes changing over time (Ryan et al., 2009)?
 643
- 644 2. What are socioeconomic drivers of marine litter, and are they changing over time?
- 646 3. What is the flow of marine litter, and how is this changing over time?
- 648 4. What are the impacts of marine litter, and are they changing over time (Ryan et al., 2009)?

651 Indicators for abundance, distribution and composition of marine litter

Indicators for the abundance, distribution and composition of marine litter have been developed
for beach/shoreline litter, floating/water column litter and seafloor litter (GESAMP, 2019; UN
Environment, 2018). These indicators seek to provide a measure of the state of marine litter in
the environment (GESAMP, 2019).

656 Beach/shoreline litter

645

647

Various methods that take into consideration types, quantities, distribution and fluxes produce 657 658 beach litter indicators. Some studies record numbers of various types of marine litter while others 659 look at the mass of litter with some studies looking at both (Galgani et al., 2015; Galgani, Hanke, 660 et al., 2013). Beach litter indicators can be used to focus mitigation measures and evaluate the 661 effectiveness of legislation and regulations by providing information on the amounts, trends and sources of marine litter (OSPAR, 2010). Beach litter indicators are the most developed and 662 663 common indicators and have been used extensively for analysis in regions including the North-664 East Atlantic, Baltic Sea and United States (European Commission JRC, 2013; Hardesty et al., 665 2017; OSPAR, 2017). Although there are many existing initiatives related to beach litter collection 666 and monitoring, there are inconsistencies in the methodologies used across these initiatives, 667 which impairs comparability and global analysis.

668 Focusing on distribution, the GESAMP report highlights the importance of understanding the 669 physiology of a shoreline. The dynamic nature of shorelines, due to both oceanographic and 670 meteorological factors, such as tides, waves and currents, and winds and rain, are dominant in 671 determining how marine litter will end up on beaches. Additionally, the nature of the shoreline, 672 specifically the surface structure and slope, will determine what type of litter remains on the beach 673 and where that litter is located over space and time. Ekman transport, a process in which on and 674 offshore winds will blow floating litter onto or off of the shoreline which causes pronounced 675 currents both on and offshore, is highlighted as a means of understanding the flux process 676 between floating and shoreline litter.

⁶⁴⁹ In this section, we review existing and developing indicators to address these questions as well 650 as proposed indicators for reporting on SDG 14.

Tourism and increased human activity is a good indicator of beach litter quantities. Seasonal increases of visitors to the beach will lead to increased quantities and types of litter load to an area. Conversely, high levels of human activity can also indicate lower levels of specific larger types of litter due to organized beach sweeps (Opfer et al., 2012; Ryan et al., 2009). Using temporal, geographical, and oceanographic metrics for indicators of when beach litter will in high quantities and when certain types of litter will be present is an effective way to know when to conduct monitoring activities.

684 Floating and water column

685 Ocean circulation, material density, degradation, and biofouling are a few factors that influence the distribution of marine litter on the surface and throughout the water column. The composition 686 687 of marine litter in the water column ranges from large items such as abandoned, lost, discarded 688 fishing gear (ALDFG) to microplastics (GESAMP, 2019). Indicators for floating and water column 689 debris are essential for knowing what sampling strategy to adapt when monitoring marine debris. 690 In a sort of circuitous route, the indicator needed to identify marine litter quantity and distribution 691 floating on the surface or in the water column, depends on what type of material you are trying to 692 monitor or sample.

693 Generally, there are a few primary indicators that can be used to determine where marine litter 694 will be in the open waters, how much will be there, and the type. As with beach or shoreline litter, 695 temporal variations play a big factor in indicating the location and distribution of litter, including 696 tidal conditions, short-term wind and rain events, and seasonal extremes or anomalies. There are 697 also specific types of litter or plastic that are more or less dense than water. Depending on the 698 structure, make up and size of the litter, the distribution and composition of litter within the water 699 column is slightly more straightforward to determine; for example, polystyrene will sink while 700 polyethylene and polypropylene polymers will presumably float (GESAMP, 2016). Understanding 701 shipping and fishing pathways and monitoring may also be a useful indicator as to where to find 702 marine debris, especially debris in the water column. Most of the debris found in the ocean are 703 land-based, but there is still a significant portion of debris entering the water from sources at sea 704 (NOWPAP CEARAC, 2007). Very high-resolution satellite images, UAV data and ship-mounted 705 cameras can indicate mega-litter conglomerates on the sea surface.

706 Seafloor

707 From shallow areas near reefs, to deep trenches, litter is all across the seafloor. Indicators for 708 monitoring sea floor litter are a more nuanced than indicators for beach litter or floating and water 709 column litter, because the sea floor is a sink for marine litter (Galgani et al. 2000, Pham et al. 710 2014, Woodall et al. 2014, GESAMP 2019). There are two dominant non-naturally occurring 711 indicators of potential seafloor litter. The first is proximity of maritime activities, such as fisheries, aquaculture, shipping, construction, energy extraction and recreational activities (Pham et al. 712 2013, Loulad et al. 2017). The second is shore-based leakage or run off points, like major river 713 714 deltas, populated and industrialized coastlines and coastal tourism. Though not all seafloor litter 715 is macro in scale (there is a significant issue of microplastics in sediments), a key indicator of type 716 of litter found on the seafloor is the physical characteristics of litter, especially density and size.

717 While time and seasonal trends are hard to use as indicators, especially concerning quantity, due 718 to the lack of baseline studies and observations of seafloor litter, environmental factors of the seafloor may be a key indication of the possible presence of litter. Water depth, seafloor
 topography, surface and deep-water currents may be an indicator of distribution (GESAMP 2019).

721 Socioeconomic drivers of monitoring marine litter

722 Indicators of marine litter from costal sources include urban development, population proximity to the ocean, and economic status. Urban development linked to marine litter include 723 724 transportation infrastructure and storm water drains. Coastal roads increase beach access 725 resulting in a greater number of beach users and visitors, which can result in coastal debris 726 deposition (Willis et al. 2017, UNEP 2017, Glanville and Chang 2015). Locations where 727 activities are transitory, such as parking lots or shopping malls, can also accumulate litter 728 (Hardesty et al. 2016). Storm water drains are a link between urban run-off and marine litter. 729 They also transport microplastics that come from washing clothes made with synthetic materials 730 (Browne et al. 2011). The number of storm water drains is a potential indicator as it positively 731 correlates with the abundance of marine litter, even when controlling for population density 732 (Willis et al. 2017).

733 The relationship among a population size, its distance from the coastline, and the abundance of 734 marine litter is dependent on the geographic scale of the indicator. Remote and uninhabited 735 islands can accumulate large quantities of marine debris, which reflects global issues with 736 marine litter and not a singular point source (Lavers et al. 2019). At regional scales, the 737 abundance of marine litter scales positively with population size (Hardesty et al. 2016, Browne 738 et al. 2011). Even isolated sites located in regions with large populations have high litter 739 deposition (Hardesty et al. 2017). In some locations, local community environmental stewards 740 actively remove litter or reduce litter deposits by influencing beachgoer behavior (Hardesty et al. 741 2017). The interplay between societal norms and local policies influence both litter accumulation 742 and stewardship Communities can place pressure on the government to provide and maintain 743 municipal waste removal services, which are scarce in disadvantaged communities (Hardesty et 744 al. 2016, Cordova et al. 2019). Governments can also take a proactive approach by banning 745 major sources of marine litter. For example, Bandung, Indonesia is the only Indonesian city that 746 bans Styrofoam food packaging, and is the largest source of marine litter in Indonesia (Cordova 747 et al. 2019).

748 Economic status determines waste production and the resulting marine litter. Low and middle-749 income countries generate less plastic waste per-capita than high-income countries (Jambeck 750 et al. 2015). However, low and middle-income countries have less infrastructure and financial 751 resources for proper waste management (Brooks et al. 2018). GDP can serve as an indicator as 752 mismanaged waste has high potential to become marine litter. Since the 1980's, high-income 753 countries have been major exporters of plastic waste to low and middle-income countries, which 754 places further strain on countries with limited capacity for proper waste management (Brooks et 755 al. 2018). This issue is more apparent in the wake of the 2017 Chinese import ban of nonindustrial plastic waste (Brooks et al. 2018). In countries like Australia, biosecurity laws 756 757 prevent litter imports, thereby making debris removal from remote islands logistically difficult and 758 expensive (Lavers et al. 2019).

759 Indicators for the flow of marine litter

760 Spatial distribution of floating litter, along with current, tidal, and riverine information can be a 761 useful source indicator for input of litter into this marine environment. This may allow for important evidence about the pathway and input zone, which is useful to determine the potency of the source as well as the efficacy of any management practices in place. The use of specific items as indicators of sources or pathway of marine input is a useful practice, such as items from industrial or fishing vessels.

The major land-based sources of marine plastic include landfills, floodwaters, industrial outfalls, discharge from storm water drains, untreated municipal sewerage, and littering of beaches and coastal areas from tourism and other activities. Existing databases, social media and public documents can provide information on these sources.

The integrated information and model system would provide a basis for risk assessments. For example, a candidate for assessing the risk of seafood contamination from ocean plastics is the functional dependency network analysis (Pinto & Garvey, 2013), which this model system would support. Likewise, the model system would facilitate cost-benefit analyses for mitigation means.

This integrated system also would allow for a scenario-based exploration of possible futures. After careful validation and calibration, this model could assess future trajectories for ocean plastics based on scenarios of plastic production, waste management, recycling and reuse practices, as well as efforts to remove plastics from the ocean. Desirable futures can identify transformative palicies peeded to appure such futures

policies needed to ensure such futures.

779 Plastic debris in rivers, including the mouths of rivers and estuaries

780 Main sources of marine litter entering the ocean through rivers are due to improperly managed 781 plastic waste, including failed recycling, inadequate sewage systems, and inadequate disposal 782 (Jambeck et al., 2015). A combination of an intensive 2-week in situ sampling program with 783 hydrological data showed that the Saigon River, Vietnam, carried macro-plastic loads at least four 784 times higher than previously estimated (Van Emmerik, 2018). This underlines the importance of 785 case studies in those rivers that knowingly contribute significantly to the flow of plastic into the 786 ocean. The Ocean Cleanup initiative (https://theoceancleanup.com/rivers/) is working with 787 governments to prevent plastic from entering the world's oceans from rivers from 1000 of the most 788 polluting rivers, all over the world, by 2025.

Sediment outflows at river mouths, indicative and correlated with land-based sources of pollution
 might be a potential indicator for plastic debris. Sediment samples in estuaries could also provide
 information on plastic contents, potentially given time variability over the last five to seven
 decades.

In addition to estimates of plastics at river mouths and in estuaries, it is important to map the input of plastic into the rivers. Variables such as watershed population, sources of waste and leakages into the environment, management practices, and runoff would be important auxiliary data to harvest from existing sources.

797 Marine litter debris from ocean activities (shipping, fishing, mining)

Many sea-based activities contribute to marine debris. Important contributions come from fishing
and aquaculture, shipping (e.g., transport, tourism), dredged material, offshore mining and
extraction, sewage sludge and illegal dumping at sea. As most sea-based sources of plastic come
from ship presence or traffic, the comprehensive available Automatic Identification System (AIS)

data provides a database of valuable information about ships and their movements. While various
 free sources of AIS data exist online, these are limited in scope. The full database is available for
 purchase. Based on this full database, pattern recognition and matching algorithms could be used
 to match hotspots of marine litter with ship presence, taking into account the trajectories of these
 hotspots based on ocean currents. This would allow determination of ship size, type, and flag
 country to identify the most likely polluters.

Knowing where the most important fishing areas are at any given one point in time (e.g. Global
Fishing Watch, https://globalfishingwatch.org) would help to detect major potential sources and
locations of ghost gear.

811 A key convention for the International Maritime Organisation (IMO) is the International Convention 812 for the Safety of Life at Sea (SOLAS). Regulation 19 of Chapter V of SOLAS - carriage 813 requirements for shipborne navigational systems and equipment - lists the navigational 814 equipment to be carried on-board ships in accordance to ship type. All ships are required to carry 815 AIS, which must be able to provide information about the ship to other ships and to coastal 816 authorities automatically. More specifically, regulation 19 of SOLAS Chapter V requires AIS to be 817 installed on-board all ships which are of 300 gross tonnage and upwards engaged on international 818 voyages, cargo ships of 500 gross tonnage and upwards not engaged on international voyages 819 and all passenger ships irrespective of size.

This means that AIS cannot necessarily track many fishing vessels. However, it is mandatory for all fishing vessels engaged in commercial activities to broadcast their positions via encrypted satellite communication every 2 hours. This system, known as Vessel Management System (VMS) monitors national fishing fleets and foreign vessels fishing within national waters is available only to national governments authorities and groups that share access. By engaging national authorities as well as FAO, VMS data at global level can track, monitor, model and evaluate the sources and locations of fisheries ghost gears.

Aquaculture is also a known source of lost fishing gear and apparatus. High-resolution imagery can reliably detect the locations of these activities (Trujilo et al., 2012).

829 The Marine Environment Protection Committee (MEPC) of the International Maritime Organisation (IMO) has agreed an Action Plan (IMO, 2018) to address Marine Litter from ships 830 831 (including from fishing vessels). Building on the existing policy and regulatory frameworks such 832 as the MARPOL Convention (MARPOL, 1973) and the Convention on the Prevention of Marine 833 Pollution by Dumping of Wastes and Other Matter (the London Convention and Protocol for short. LCP, 1972), the action plan introduces new supporting measures to address the issue of marine 834 835 litter from ships. The Global Platform can feed into the Action Plan and respond to the 836 requirements of this Action Plan.

837 Marine litter from coastal disasters

The modern built environment includes a large fraction of plastic material. In 2015, 72 million tons of plastic went into building and construction (with an average use time of 35 years) (Parker 2018). Considering the migration of the global population, a large fraction of this is located in the coastal zone or in flood zones and thus exposed to hydro-meteorological hazards. This rapidly increasing exposure of the built environment to floods and storms has increased the likelihood of plastic and other debris entering the ocean. The likely increase of the frequency and intensity of hydrometeorological hazards due to modern climate change further exacerbates this risk. 845 It is urgent to compile information on the amount of marine debris resulting from coastal disasters. 846 Databases compiled by insurances, real-estate companies and municipalities could be harvested 847 to estimate and map the plastic integrated the built environment. Overlaying this information with 848 disaster assessment would provide a basis to quantify the amount of plastic and other debris 849 washed into the ocean during major hazardous events.

850 Primary Microplastics

851 Microplastics in the environment are categorized as primary and secondary microplastics. 852 Boucher and Damien (2017) define primary and secondary microplastics as follows:

Primary microplastics are plastics directly released into the environment in the form of small particulates. They can be a voluntary addition to products such as scrubbing agents in toiletries and cosmetics (e.g. shower gels). They can also originate from the abrasion of large plastic objects during manufacturing, use or maintenance such as the erosion of tires when driving or of the abrasion of synthetic textiles during washing.

Secondary microplastics are microplastics originating from the degradation of larger plastic items into smaller plastic fragments once exposed to marine environment. This happens through photodegradation and other weathering processes of mismanaged waste such as discarded plastic bags or from unintentional losses such as fishing nets.

Indicators of marine microplastics pollution includes emission estimates of primary microplastics.
 Primary microplastics include tire dust/particles, road markings, synthetic textiles, maritime
 coatings, personal care products, plastic pellets and artificial turf (Boucher & Damien, 2017; Wang
 et al., 2019). Emission estimates can then be linked into estimates of microplastics entering the
 aquatic environment through various pathways (e.g. domestic sewage, road runoff, wind, adjacent
 waters) (Burton, 2017; Lassen et al., 2015; Verschoor et al., 2016).

For example, a recent study by Wang et al. (2019) utilized this process to estimate the contributions of various items to primary microplastics emissions and estimate the amounts entering aquatic environments in mainland China (Figure 12).

872

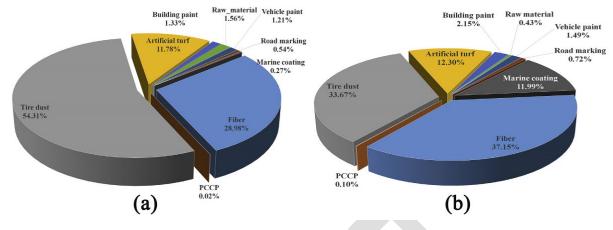


Figure 12. Contribution of various sources to total primary microplastics emissions (a) and the amounts entering the aquatic environment (b) in mainland China in 2015. Source: Wang et al., 2019.

874 These estimates can be validated by the analysis of microplastics in known sources, such as

storm water, and the marine environment (Sutton et al., 2019) though additional analysis and

876 research is needed before primary microplastics emissions can be routinely used as indicators.

877 Indicators for impacts of marine litter

878 Biological Impacts

873

879 Marine organisms regularly interact with litter deposited into the ocean. Whether there are filter 880 feeders incidentally consuming microplastics, birds nesting on floating debris on the ocean 881 surface or beach, larger fish eating litter that travels upwards through the different trophic levels, 882 or coral reef habitats being disturbed by litter on the ocean floor, litter and debris impact myriad 883 marine biota through a number of different means. Monitoring how, where, why, and when 884 organisms interact with litter is crucial for the safety and wellbeing of the oceans.

Biological and classical indicators play a pivotal role in the monitoring of marine litter. Because not all litter will be collected or counted, the biological indicators act as a way to measure the impact marine debris is having on the environment and serves as a way to assess the impact of a specific measure or policy set in place. For example, the INDICIT II project has found an effective biological indicator should be "accurate, sensitive, reliable and easy to use for all the stakeholders in order to be applied to a large geographic area." Sea turtles, crustaceans and fish are useful indicators because they tend to ingest of become entangled in marine debris, have a large spatial distribution, and use all ecological marine components from the seabed to sea
 surface¹².

894 Entanglement and ingestion are two biological indicators that will provide information about the 895 interactions between organisms and marine debris. Monitoring entangled organisms can indicate 896 changes in the abundance of debris responsible for entanglements⁷. Entanglement also serves 897 an indicator for the harm caused by the incorporation of marine debris into nests of breeding 898 birds. While it occurs less frequently than ingestion, using a consistent monitoring approach could 899 potentially allow entanglement to be an indicator for the success of mitigation efforts.¹³ In addition, 900 the presence of plastic items in nests can be an indicator of the amount of litter in the natural 901 environment near their nesting areas as well as a risk for entanglement.

902 Ingestion of marine debris can be useful as an indicator for a number of different things. The first 903 is that, plastic content of a bird stomach can determine regional differences in the abundance of 904 marine debris. Comparing plastic loads of birds in different regions can act as a way to show 905 where more pollution is acting as both a source and a sink⁷. Using stomach contents of fulmars, 906 the OSPAR Commission developed an indicator to demonstrate the changes in quantities of 907 floating debris in the North Sea as well as the impact it has on biota³.

908 The CleanSea Project developed a series of considerations to make when selecting or 909 implementing an organism as a bio-indicator (as opposed to collecting samples from naturally 910 available species) that would aid in both consistency and accuracy. As with experiments, selection 911 should be based on a site-by-site, case by case basis. The general guidelines provided for 912 selection are as follows: region specific indicator species; non-threatened or protected species; 913 species that can be kept in cases for easy field deployment or retrieval (such as bi-valves); 914 invertebrate species (require less training and handling than vertebrate species); perform 915 sampling in a cost-effective manner by synergies with pre-existing programs; species which when measure are directly linked to impact and effects (more difficult to achieve); species that are 916 917 directly linked to measure and could be used to evaluate progress towards targets and effectiveness of mitigation activities (Ryan et al., 2009). The use of these guidelines for selection 918 919 of a bio-indicator, while not comprehensive and fully incorporating all debris, can be a useful 920 starting point.

921 Fossi, et al, developed a more general approach to indicator selection of sentinel species as 922 indicators. This study surveyed reports of marine species impacted by debris in the Mediterranean 923 Sea, specifically species that had ingested debris. Based on their findings, they determined six 924 key criteria to consider when selecting an indicator based on ecological and biological data. The 925 first was background information, including biological and ecological characteristics of the species as well as the knowledge of the non-affected species to be able to generate a point of comparison. 926 927 The second was habitat information of the species, including both the habitat and home range of 928 the species (sessile, motile, depth, travel, migration). The third was trophic information and 929 feeding behavior, specifically the feeding mechanic and behavior knowledge in order to select a 930 wide range of levels of the food scale. The fourth was spatial distribution of species, which is

¹² <u>https://indicit-europa.eu/cms/wp-content/uploads/2018/09/Protocole_v7_hd.pdf</u>

¹³<u>https://mcc.jrc.ec.europa.eu/documents/201702074014.pdf</u>

931 important because of the spread of debris both across the surface and seabed and throughout 932 the water column. The fifth was commercial importance and conservation status, which may allow for a measure of potential transfer of plastic from seafood to humans. Additionally, it is important 933 934 to monitor species of concern and how marine litter affects them. The sixth recommendation was 935 to note the documented ingestion of marine litter based on the statistics and data available (Fossi 936 et al., 2018). As with the CleanSea Project, the criteria of selection represent a basis of guidelines, 937 not all the information needed will be readily available, and not all species used will be the all-938 telling indicator. With all data collection though, having a consistent basis of how to sample and 939 prepare data will have a number of long term benefits, namely the ability to accurately compare 940 data from across different regions of the world.

941 Economic Impacts

942 Various industries are both the source of and are vulnerable to economic losses from marine

943 litter. The economic consequences of marine litter can be immediate, as in the case of repairing

944 fishing gear, or long term, due to lasting changes in ecosystem function. Marine litter poses

hazards to human health; therefore understanding the welfare risks can incentivize marine litter

946 mitigation efforts.

947 Fisheries

948 The fishing industry is a source of marine litter, but it also incurs direct and indirect costs from 949 marine litter. Direct costs include repairing or replacing lost or damaged gear, time spent clearing 950 litter from nets, reduced catch due to contamination, and rescue services (Mouat et al. 2010). A 951 case study from the Shetland Islands revealed that direct costs of marine litter to the Scottish 952 fishing industry is between \$15.5 million and 17.2 million, or 5% of overall revenues annually 953 (Mouat et al. 2010). The estimated direct cost of marine litter to the EU fishing industry is \$81.9 954 million (UNEP 2017). Indirect costs of marine litter come from derelict fishing gear, or lost fisheries 955 equipment such as trawl nets, gill nets, traps, or pots (National Resource Council 2008). A phenomenon known as "ghost fishing" occurs when derelict fishing gear continues to capture 956 957 marine life after the equipment is lost (Newman et al. 2015). This can reduce potential harvest and have long-term impacts on fisheries sustainability (UNEP 2017, Matsouka et al. 2005). For 958 959 example, derelict crab pots in Puget Sound, Washington, cause an estimated 4.5% harvest loss 960 in Cancer magister landings, or \$744,000 annually (Antonelis et al. 2011).

961 Marine litter can have economic impacts on the fishing industry by harming marine life, resulting 962 in negative public perception of seafood safety. Stomach, gills, and tissues of fish and bivalves 963 contain microplastics and are reflective of plastic use by local human populations (Barboza et al. 2018, Rochman et al. 2015). Ingested microplastics can affect the growth rate or mortality of 964 965 marine life by blocking feeding appendages or altering hormone levels (Wright et al. 2015). It is unclear how microplastics and their associated chemicals transfer up the food chain (Smith et al. 966 967 2018). Seafood contamination by plastics, or the perception of it, can reduce consumer demand, 968 which leads to economic loss throughout the fishing industry.

969 Tourism

970 Beach users place aesthetic value on recreational spaces and are deterred from coastlines they 971 perceive as having too much litter. This can negatively impact coastal communities that rely on 972 visitors for revenue, such as the UK, which generates between \$7.6 billion to 12 billion from 973 coastal tourism annually (Mourat et al. 2010). After heavy rainfall on Goeje Island, South Korea, 974 a large pulse of marine litter resulted in 500,000 fewer visitors to the island (Jang et al. 2014). 975 Without tourists to spend money on food and lodging, Goeje Island lost an estimated \$25.2 million 976 to \$31.7 million in 2011. A garbage and medical waste spill on the New Jersey shore caused an 977 estimated 22% drop in beach visitation and a total loss of \$1.4 billion (Tyrell 1992). Based on public questionnaires, in Cape Peninsula, South Africa, 40% of foreign tourists and 60% of 978 979 domestic tourists would avoid visiting if there were more than 10 litter items per square meter 980 (Balance et al. 2000). Along the coast of Paraná, Brazil, 85% of users would avoid visiting 981 beaches with more than 15 items per square meter, which would cost up to \$8.5 million in lost 982 revenue (Krelling et al. 2017).

Beach cleaning can generate revenue by attracting visitors. Using a travel cost model by Leggettt 983 984 et al. (2014), in Orange County, California, a 75% reduction in marine litter would generate \$53 985 million. However, beach cleaning comes at a cost. In coastal cities along Oregon and California, 986 beach cleanup, street sweeping, storm water capture devices, storm drain cleaning and 987 maintenance, public education, and losses from tourism costs between \$9.5 million to \$10 million, 988 depending on population size (Stickel et al. 2012). Coastal municipalities in the UK spend \$19.7 989 million annually on marine litter removal and \$11.4 million annually in Belgium and the 990 Netherlands combined (Mouat et al. 2010). The amount spent on these efforts in each municipality 991 depends on the touristic value of their beaches. Voluntary stewardship programs also play an 992 important role in removing marine litter and raising public awareness of coastal issues. 5 coastal 993 stewardship organizations in the UK used \$14,525 for program support such as cleaning supplies, 994 liability insurance, and transportation to waste management facilities. However, program costs 995 often do not account for the time donated by volunteers. In the UK, 8,809 volunteers contributed 996 the equivalent of \$143,673 of their time based on the British minimum wage (Mouat et al. 2010).

997 Ecosystem services

998 Marine ecosystem services are valued at \$18.1 trillion (Costanza et al. 1997). Marine litter 999 threatens the three components of ecosystem services: provisioning (e.g. food and materials), 1000 regulatory (e.g. climate regulation and diseases control), and cultural services (e.g. recreation 1001 and heritage) (Beaumont et al. 2019), which have vast economic costs to various sectors as reviewed above. Invasive species can have a detrimental impact on biodiversity and disrupt 1002 1003 ecological processes, which in turn affect ecosystem services. Marine litter can serve as a raft 1004 for transporting invasive species long distances to areas they do not naturally occur (Rech et al. 1005 2016), which would necessitate the economic costs of eradication and monitoring of invasive 1006 species. For example, the eradication and monitoring of the introduced carpet sea squirt (Didemnum vexillum) in Wales cost \$733,208 over 10 years (Newman et al. 2015). Without this 1007 1008 intervention, this introduction costs an estimated \$9.4 million to the local mussel fishery 1009 (Newman et al. 2015). In the north Pacific, the folliculinid ciliate (Halofolliculina spp.), 1010 responsible for skeletal eroding band disease in corals, was found on plastic debris (Goldstein 1011 et al. 2014). Their original distribution was in the South Pacific and Indian Ocean, but their 1012 presence in the North Pacific and the accumulation of plastic debris in the Hawaiian Islands 1013 suggests that marine litter facilitated the transport of the ciliate (Goldstein et al. 2014). Coral 1014 diseases can cause changes to the diversity and abundance of marine life, which can have 1015 economic costs associated with tourism and fishery activities.

1016 Human health

- 1017 Health care costs of marine litter depend on the severity of acute and chronic medical
- 1018 conditions. Maritime collisions with large litter or entanglement can lead to injury or death, and

- 1019 the litter created from these accidents can persist as hazards to people at sea (Newman et al.
- 1020 2015). Medical and hygiene waste threaten water guality, and exposure to contaminated
- 1021 seawater can result in infections (Tyrell 1992). Injury claims in New Zealand costs thousands of
- 1022 dollars, with injuries primarily due to punctures (Campbell et al. 2019). Children are the
- 1023 demographic most vulnerable to marine litter related injuries as they are unaware of potential
- 1024 hazards (Campbell et al. 2019).

1025 Toxins associated with marine litter pose a threat to bodily functions. Contaminants from 1026 agricultural and industrial run off, such as polychlorinated biphenyls (PCBs) and 1027 dichlorodiphenylchloroethane (DDT), and bisphenol A (BPA), are linked to organ damage. hormonal disruption, and reproductive abnormalities (Center for Disease Control). The chemical 1028 1029 composition of plastic polymers facilitates the accumulation of contaminants, causing litter to be 1030 orders of magnitude more toxic than the surrounding seawater (Galloway 2015). Pollutants 1031 absorbed in lower trophic levels can propagate throughout a food web (Ross and Birnbaum 1032 2003). Current research suggests that toxin bioacculumation is dependent on contaminant type,

1033 dosage, and prior exposure (Lohmann 2017).

1034 Marine litter can serve as a vector for diseases (Lamb et al. 2018, Barnes 2002). Plastic litter 1035 harbors its own "plastisphere", or a microbial community that is different from the surrounding 1036 seawater (Zettler et al. 2013). Vibrio strains of bacteria responsible for infectious diseases are in 1037 the plastsphere, suggesting that marine and human life can be susceptible to infections and the 1038 spread of diseases can be far reaching (Zettler et al. 2013).

Indicators for SDG reporting 1039

1040 The existing internationally agreed GESAMP guidelines determine the agreed indicators for 1041 reporting on marine plastic litter under SDG Target 14.1.1b. Sub-indicators beach litter, floating plastic and plastic in the sea column, plastic on the sea floor and additional option indicators 1042 included in the approved methodology (Table 5, UN Environment 2019). Indicators are 1043 1044 categorized into three levels:

Level 1: Global indicators 1045

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- Plastic patches greater than 10 meters (for Areas Beyond National Jurisdiction or 1046 • 1047 Total Oceans)
 - Beach litter originating from national land-based sources

1049 Level 2: National indicators

- Beach litter count per km2 of coastline (surveys and citizen science data) 1050 •
 - Floating plastic debris density (visual observation, manta trawls) •
- 1052 • Water column plastic density (demersal trawls) 1053
 - Seafloor litter density (benthic trawls (e.g. fish survey trawls), divers, video/camera • tows, submersibles, remotely operated vehicles)

1055 Level 3: Supplementary indicators

- Beach litter microplastics (beach samples)
- Floating microplastics (manta trawls, e.g. Continuous Plankton Recorder) •

- Water column microplastics (demersal plankton trawls)
- Seafloor litter microplastics (sediment samples)
- Plastic ingestion by biota (e.g. birds, turtles, fish)
- Plastic litter in nests
- Entanglement (e.g. marine mammals, birds)
- Plastic pollution potential (based on the use and landfilling of plastics)
- River litter
- Other parameters related to plastic consumption and recycling
- Health indicators (human health and ecosystem health)

Table 5. Monitoring parameters for marine plastic litter to track progress against SDG Target 14.1 (UN Environment, 2019).

Monitoring parameters (and methods)	Level 1	Level 2	Level 3
Plastic patches greater than 10 meters*	х		
Beach litter originating from national land-based sources	x		
Beach litter (beach surveys)		X	
Floating plastics (visual observation, manta trawls)		X	
Water column plastics (demersal trawls)		X	
Seafloor litter (benthic trawls (e.g. fish survey trawls), divers, video/camera tows, submersibles, remotely operated vehicles)		Х	
Beach litter microplastics (beach samples)			X
Floating microplastics (manta trawls, e.g. Continuous Plankton Recorder)			x
Water column microplastics (demersal plankton trawls)			X
Seafloor litter microplastics (sediment samples)			X
Plastic ingestion by biota (e.g. birds, turtles, fish)			Х
Plastic litter in nests			Х
Entanglement (e.g. marine mammals, birds)			Х
Plastic pollution potential (based on the use and landfilling of			x
plastics)			

River litter	Х
Other parameters related to plastic consumption and recycling	X

1056 * This indicator is most useful for areas beyond national jurisdiction or total ocean area, not for 1057 national monitoring.

1058 These indicators are marked as levels 1, 2 or 3, level 1 being global data or globally modelled, 1059 level 2 including national monitoring and level 3 describing supplementary/recommended 1060 indicators.

Section 4: Monitoring the plastics value chain

Monitoring marine litter is essential for our understanding of the situation; however, simply measuring the problem is not enough to inform policy. A complete life-cycle approach to the way plastic is produced, used in products and eventually becomes waste is important for understanding the sources and management options to the global problem of marine litter, as well as issues related to waste in terrestrial and freshwater environments. A life-cycle approach includes all the stages from raw material (essentially oil and gas) extraction, processing, design and manufacture of plastic products, their use, and finally end-of-life waste management practices¹⁴ (UNEP 2012), as well as how waste ends up in the natural environment, and how waste flows through river and other water pathways. This approach also pushes the assessment towards all sorts of environmental impacts generated by the use of resources (land, water, minerals, biomass...) and generation of emissions (greenhouse gas emissions, toxic emissions, nutrient pollution, etc.) and potentially including plastic litter) along the life cycle of production and consumption systems. In this way, life cycle approaches provide the systems perspective required to assess how plastic is used for which products, enabling comparisons with alternatives: ways of using plastic (e.g. in reusable vs. disposable products) or products made from alternative materials. UNEP (2018) and Ryberg et al. (2019) follow such a life cycle approach in mapping the global losses of plastic across its main value chains, differentiating among polymer types, application, macroplastics and microplastics, etc.

According to these studies, approximately 6.2Mt of macro-plastics and 3.0 Mt of microplastics were lost to the environment in 2015 (Figure 13). Figure 14 on the overview of the plastic value chain shows amounts annually produced, used in different sectors and eventually disposed of (end-of-life stage). The figure shows total masses of plastics lost to environment (marine, freshwater, and terrestrial compartments) per life cycle stage.¹⁵ Across the plastics life cycle, the largest losses of plastics occur in the use and end of life (EoL) stages, which account for ca. 36% and 55% of total plastics losses to the environment, respectively. Losses during plastics production are relatively small and account for 0.25% of total plastic losses. In general, about 90% of microplastics losses from the use stage, about 77% of macro-plastics losses are from the EoL stage, and 13% of macro-plastics losses stem from littering. Figure 15 shows the plastic losses to the environment distributed by geographical regions, macro- and microplastics, and loss sources.

¹⁴ See e.g. <u>https://www.lifecycleinitiative.org/starting-life-cycle-thinking/what-is-life-cycle-thinking/</u>

¹⁵ The mass of plastics produced is not equal to the mass of plastics disposed of due to plastic service lifetime extending beyond the year of production. Accordingly, a fraction of the plastic wastes disposed of in 2015 were produced in the years before 2015.

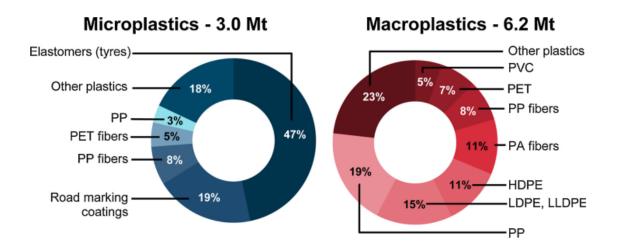


Figure 13. Losses of microplastics and macroplastics to the environment (marine, freshwater, and terrestrial compartments) by polymers and plastic applications (when exact plastic (or polymer) types cannot be identified). Source: Ryberg et al. (2019)

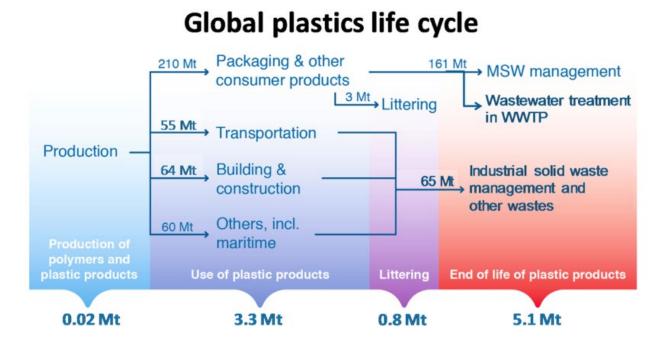


Figure 14. Global plastic life cycle value chain estimated losses to the environment for the year 2015. Source: Ryberg et al. (2019)

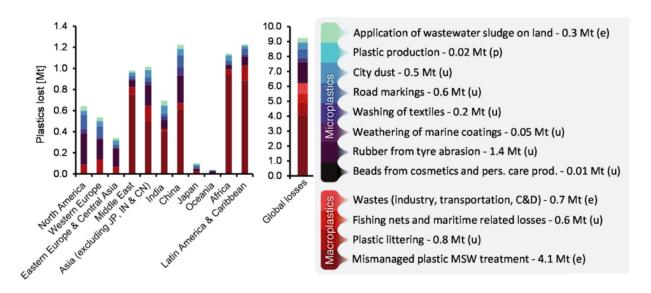


Figure 15. Losses of macroplastics and microplastics to the environment (all marine, freshwater, and terrestrial compartments combined) characterized according to region and loss sources. Losses from maritime activities like fishing or shipping, and losses from building industry and the transportation sector could not be assigned to specific regions and are only indicated in the global estimates. (p) is loss during production stage, (u) is loss during use stage, (e) is loss during end-of-life stage. Source: Ryberg et al. (2019)

As shown above, life cycle-based studies provide a systems perspective of how plastics are manufactured and how they flow through the economic sector until their final destination. The geographical resolution of such approaches depends strongly on the source of data and data collection approach. Two main monitoring approaches can be distinguished: top-down and bottom up.

Top-down approaches mainly rely on reported trading databases on manufactured amounts, imports, exports and reported waste management data. Their system boundaries are often confined at country level. SDG indicators under SDG 12 fall under this category. The challenge of such approaches is to reduce the geographical resolution beyond country level. In such cases, life cycle-based studies can provide valuable information on the origin (i.e. country) of estimated plastic amounts present in the marine environment, however, they struggle to allocate those amounts to specific cities or to come up with a clear understanding of which disruptions in the waste management system are causing this pollution.

Bottom-up approaches collect primary data, mainly at city level, with special focus on getting a deep understanding of the value and service chains of plastic materials. SDG indicators under 11 fall under this category. The advantage of such approaches is that they can provide information on the source of marine plastic litter (i.e. city). Furthermore, they provide valuable information on possible policy and infrastructure interventions to reducing plastic waste emissions to terrestrial environments, lakes and rivers and harmful waste burning practices due to the in-depth understanding of the disruptions in the municipal solid waste management (MSWM) system.

Both approaches are mutually complementary and can be used for triangulation.

The complexity of a life-cycle approach, with thousands of interrelated processes spanning sectors and country borders, requires that significant parts of the system be modelled (rather than directly measured or monitored). Such modelling requires reliable databases of key "check points" in the system, such as production volumes; amount of waste generated and collected; final destination of discarded plastic (collection for recycling; amount effectively recycled; fraction incinerated with / without energy recovery; landfill; dump / environment / litter); amount of recyclate re-entering the system in the transformation stage.

A life-cycle approach directly links with a number of additional SDG targets and indicators (table 6):

- 8.4.1 and 12.2.1 on domestic material consumption and material footprint relates to how much raw materials are used by an economy and includes plastic production information;
- 11.6.1 and 12.5.1 on municipal solid waste management and recycling, respectively;
- 6.3.1 and 6.3.2 on pollution in wastewater and freshwater.

Table 6. SDG Targets and Indicators Related to a Life-cycle Approach.

Goal 6: Ensure availability and sustainable management of waste and sanitation for all					
Target		Indicator			
6.3	By 2030, improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and	6.3.1: Proportion of wastewater safely treated			
	materials, halving the proportion of untreated wastewater and substantially increasing recycling and safe reuse globally	6.3.2: Proportion of bodies of water with good ambient water quality			
Goal 8: Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all					
Target		Indicator			
8.4	Improve progressively, through 2030, global resource efficiency in consumption and production and endeavour to decouple economic growth from environmental degradation, in accordance with the 10-year framework of programmes on sustainable	8.4.1 Material footprint, material footprint per capita, and material footprint per GDP			
		8.4.2 Domestic material consumption, domestic material consumption per capita,			

	consumption and production, with developed countries taking the lead	and domestic material consumption per GDP				
Goal 11:	Goal 11: Make cities and human settlements inclusive, safe, resilient and sustainable					
Target		Indicator				
11.6	By 2030, reduce the adverse per capita environmental impact of cities, including by paying special attention to air quality and municipal and other waste management	11.6.1 Percentage of urban solid waste regularly collected and with adequate final discharge with regard to the total waste generated by the city				
Goal 12:	Goal 12: Ensure sustainable consumption and production patterns					
Target		Indicator				
12.2	y 2030, achieve the sustainable nanagement and efficient use of natural esources	12.2.1 Material footprint, material footprint per capita, and material footprint per GDP				
		12.2.2 Domestic material consumption, domestic material consumption per capita, and domestic material consumption per GDP				
12.4	By 2020, achieve environmentally sound management of chemicals and all wastes throughout their life cycle, in accordance with agreed international frameworks, and significantly reduce their release to air, water and soil in order to minimize their adverse impacts on human health and the	12.4.1 Number of parties to international multilateral environmental agreements on hazardous and other chemicals and waste that meet their commitments and obligations in transmitting information as required by each relevant agreement				
	environment	12.4.2 Hazardous waste generated per capita and proportion of hazardous waste treated, by type of treatment (including e-waste)				
12.5	By 2030, substantially reduce waste generation through prevention, reduction, recycling and reuse	12.5.1 National recycling rate, tons of material recycled				

UN Environment is collaborating with the International Union for the Conservation of Nature (IUCN) IUCN in developing a national guidance on plastic pollution hot spotting and shaping action. This guidance will provide countries with a systemic methodology based on life cycle approach, to help identify hotspots related to the most relevant plastic polymers, products, sectors and regionalities. Under this guidance, measuring the leakage occurred at each life cycle stage and their associated impacts will identifying hotspots from value chain. For example, high amount of plastic product production, high littering rate, low waste collection rate in rural areas and insufficient recycling capacity across the country are also potential key hotspots along the value chain. Built on comprehensive hotspot analysis, the guidance will further help identify key intervention areas and instruments tailored to the local context to enable actions at relevant life cycle stages.

Waste Management

The adequate collection and disposal of municipal solid waste (MSW) is a global challenge, particularly impacting low- and middle-income countries. According to current estimates, 2 billion people worldwide have no access to waste collection services, and environmentally unsound practices manage 3 billion people's waste (Wilson et al., 2015). This has severe impacts both on human health and on the environment, with plastic pollution and particularly marine litter being a direct consequence.

Oceans are a major sink of this unmanaged plastic in the environment, with about 80% of marine litter believed to derive from land-based sources (Eunomia, 2016). As shown before, this is largely because of a lack of waste collection infrastructure and poor waste management practices (Ryberg et al., 2019).

To solve the marine litter problem, an important part of the solution relies on understanding the MSWM systems and practices and identify the high priority areas to intervene.

In contrast to the holistic life cycle based methodologies, different monitoring tools and methodologies focus on one part of the life cycle of plastics: they put the spotlight on understanding MSWM systems and the plastic leakage occurring from them. In other words, such initiatives highlight the priority of closing the tap of pollution.

Getting a clear picture and numbers of the extent of undesired waste management practices in cities (e.g. open burning, illegal dumping, etc.), the amounts of uncollected waste together with amounts of plastics leaking from the different physical elements of MSWM systems, opens the possibility for the formation of concrete policy and infrastructure interventions.

SDG 11.6.1 is a reference methodology on this topic. This indicator looks at the proportion of MSW collected out of total MSW generated and the proportion of MSW managed in controlled facilities out of total MSW generated. The inclusion of an additional third sub-indicator on the quantity of plastic leakage into the environment is under discussion. For the calculation of this leakage amount the Waste Flow Diagram (GIZ 2020) methodology would be used, which consists of a rapid and observation based assessment for mapping waste flows and quantifying plastic leakage in cities. Other tools are also being prepared such as the ISWA Plastic Pollution Calculator.

Effective indicators for waste management are an important measure on the impact waste creates on the marine environment. Waste management indicators look at production of solid waste, sewage treatment, tourist activities (ASEAN, 2007; Prabhakaran, 2013; Tanguay et al., 2012; WTO, 2003). . A recent study by Prabhakaran (2013) summarized indicators related to marine waste management (Table 5).

Indicators for the various life cycle stages of plastics (and other components of litter) can provide important information about the current and projected status of marine litter. These indicators can also provide information to industry and policy makers regarding material selection and regulation. For example, comparison between plastic and aluminum containers in the United States from 1960 – 2017 demonstrates a contrast between plastic and steel containers in terms of dominance in the market, recycling and disposal (Figure 16).

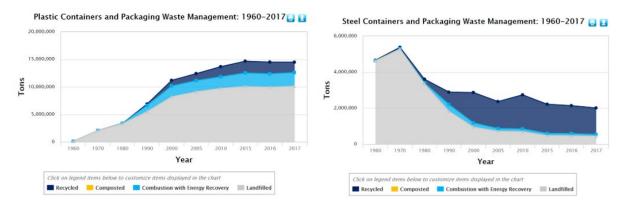


Figure 16. Plastic and Steel Containers and Packaging Waste Management in the Untied States from 1960 – 2017¹⁶.

Indicators specifically related to marine litter include analysis of improperly disposed litter that subsequently leaks into the marine environment as well as indicators for the residence time of litter in the marine environment.

¹⁶ <u>https://www.epa.gov/facts-and-figures-about-materials-waste-and-recycling/containers-and-packaging-product-specific-data#PlasticC&P</u>

Section 5: Existing and Developing Global Data Platforms

One challenge regarding ocean data is the proliferation of databases and portals. Recognizing this challenge, several global efforts are in development to create aggregated platforms that search and/or harvest data from multiple databases and repositories. This section provides summaries of existing and developing platforms that could host or be leveraged (e.g. support a portion of the platform or supply data) to a global marine litter platform.

Global Earth Observation System of System (GEOSS) Platform

The Group on Earth Observations (GEO) is a partnership of more than 100 national governments and in excess of 100 Participating Organizations that envisions "*a future wherein decisions and actions for the benefit of humankind are informed by coordinated, comprehensive and sustained Earth observations*" (GEO, 2005). The GEO community is creating a Global Earth Observation System of Systems (GEOSS) to better integrate observing systems and share data by connecting existing infrastructures using common standards.¹⁷

The GEOSS Platform¹⁸ proactively links existing and planned observing systems around the world and supports the development of new systems where there are gaps. The GEOSS Platform promotes the use of common technical standards in order to combine data from thousands of different instruments into coherent data sets. The GEOSS Platform (Figure 17) is a brokering infrastructure. The GEO Discovery and Access Broker (GEO DAB) is the primary mechanism to discover and access all data and information. The GEO DAB implements the necessary mediation and harmonization services through Application Program Interfaces (APIs). These APIs allow data providers to share resources without having to make major changes to their technology or standards.

Presently, the GEOSS Platform brokers more than 150 autonomous data catalogs and information systems, useful for the different GEO Societal Benefit Areas including data from: CAFF, Data.gov, Data.uk, EEA, GBIF, Iris, JRC Open Data catalog, NASA, NCAR, NOAA, OCHA HDX, RCMRD, UNEP, UNOSAT, USGS, Web Energy Services, WMO WIS, Esri Living Atlas of the World, and many more. Data providers are constantly being added and brokered, according to user needs, and it would be possible to add and broker marine litter data from a variety of sources.

The GEOSS Platform is testing evolved capabilities implementing a series of scenarios that illustrate its potential to support access and use of *Data and Knowledge* as a possible contribution to the implementation of a results-oriented GEOSS. It shows how the GEOSS Platform could potentially provide value to different categories of users, including Earth scientists and policy makers, in finding, producing and analyzing information, ultimately supporting the process of knowledge acquisition by the final consumers.

¹⁷<u>http://www.earthobservations.org/geo_community.php</u>

¹⁸<u>http://www.earthobservations.org/gci.php</u>

The demonstrated GEOSS Platform capabilities enable:

- Harmonized discovery and access of data, information and knowledge from heterogeneous distributed sources
- Analytical comparison of resources
- Value added products generation and sharing
- Knowledge building through mediated collaboration (registration of models, algorithms, data; curation of relations between data, services and publications).

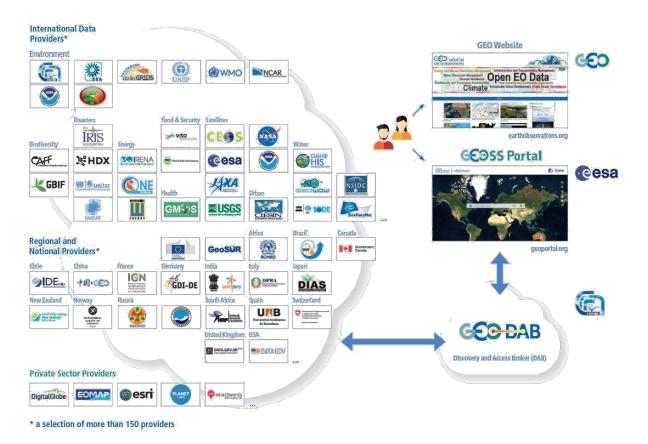


Figure 17. Components of the GEOSS Platform.

The GEOSS Portal currently offers a single access point for searching for and identifying available data sources. The GEOSS Infrastructure will evolve through 2020 – 2022 to include the development of GEO Community Portals, or "hubs" (DeLoatch, 2019). The development of a GEO Marine Litter Community Portal is a possibility.

Ocean Data Information System (ODIS)

The programme "International Oceanographic Data and Information Exchange" (IODE)¹⁹ of the "Intergovernmental Oceanographic Commission" (IOC) of UNESCO serves to enhance marine research, exploitation and development, by facilitating the exchange of oceanographic data and information between participating Member States, and by meeting the needs of users for data and information products.

IODE currently supports several marine data and information products and repositories including the Ocean Biogeographic Information System (OBIS)²⁰ (a data system for biodiversity and biogeographic data and information on marine life and the Ocean Data Portal²¹ (a data system that collects, integrates and manages physio-chemical data). IODE also has a number of distributed National Oceanographic Data Centres (NODCs) and Associate Data Unites (AUDs) that work to support data and information management in member states²².

Currently IOC data is not accessible through a single portal or platform leading to the recommendation from a 2016 external audit of IOC and its activities for IODE to implement a universal marine data and information system. In response to audit recommendation, IODE produced a concept paper for the development of an Ocean Data and Information System (ODIS) that would improve the accessibility and interoperability of existing data and information linked to and not linked to the IOC. A concept paper outlines a conceptual architecture for the system (Figure 18), as well as, an implementation plan and a Cost Benefit Analysis (Spears et al., 2017).

¹⁹<u>https://www.iode.org/</u>

²⁰<u>http://www.iobis.org/</u>

²¹<u>http://www.oceandataportal.org/</u>

²²<u>https://www.iode.org/index.php?option=com_content&view=article&id=61&Itemid=100057</u>

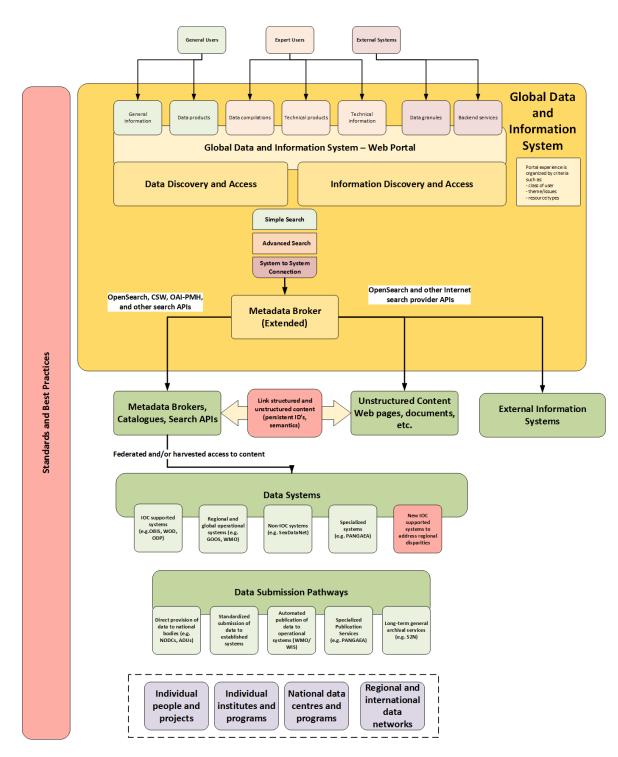


Figure 18. The Conceptual Architecture of ODIS (Spears et al., 2017)

At the thirtieth session of the IOC-UNESCO assembly, IODE was invited to prepare a fully detailed and costed project proposal for ODIS for submission to the IOC Executive Council at its 53rd session in 2020 (IOC-UNESCO, 2019). With funding, it is possible that ODIS could support access to global marine litter data.

Ocean Data Platform

The Ocean Data Foundation²³ is a not-for-profit foundation funded by the Resources Group, the philanthropic foundation of Norwegian businessperson Kjell Inge Røkke. The Ocean Data Platform, an initiative of the ODF, is an open and collaborative data platform that harnesses the power of data liberation and data contextualization for the public, industry, academia, science, policymakers and governments. The platform strives to connect data, people & technology to drive sustainable ocean governance and blue economy.

The platform is planned to function as an open collaboration with existing data providers and knowledge hubs for ocean data²⁴. The ODP currently is developing selected key use cases around which it will build the platform. Depending on the development timeline and functionality of the Ocean Data Platform, it is possible that this platform could support access to global marine litter data.

European Marine Data and Observation Network (EMODnet)

EMODnet is a long-term flagship initiative of the EU (funded by the European Commission Directorate-General for Maritime Affairs and Fisheries, Figure 19). EMODnet has mandate and goal to deliver open access to aggregated and standardized marine data and data products across seven thematic areas, namely bathymetry, biology, chemistry, geology, human activities, physics and seabed habitats. It offers a broad range and wealth of in situ data, in addition to combined data products with satellite-derived data (e.g. bathymetry). Products range from a Digital Terrain Model for high-resolution Bathymetry to Seabed Habitat Maps (following EUNIS classification), Vessel Density Maps (monthly composites) and the marine litter maps of the Marine Litter Database. EMODnet delivers this in collaboration with other key marine data initiatives including the Copernicus Space programme (and Copernicus Marine Service) and the Data Collection Framework (fisheries). EMODnet has an increasingly international user community. In addition, through its Data Ingestion service and international collaborations EMODnet increasingly offers a wider coverage of datasets, including beyond Europe. EMODnet Chemistry is one of the seven thematic portals of EMODnet and provides access to a broad range of chemical data spanning chlorophyll to dissolved gases and pollutants, including marine litter. Data products are also available for eutrophication, contaminants and marine litter across six European sea and bordering ocean regions. For marine litter, data are assembled, standardized and aggregated from multiple gear types and the collected litter data follows the data policy defined by data originators. For restricted data, the relevant National Oceanographic Data Center facilitates a negotiation process between the user and the data originator. When data are used, acknowledgement of the data source is requested. EMODnet Chemistry provides access to the litter datasets through a dedicated discovery and access service (https://emodnetchemistry.maris.nl/search) allowing to search by the available parameters (space, time, matrix, group of variables, discovery parameter, data distributor and country). In addition, the aggregated described (https://www.emodnetdatasets are in the product catalogue

²³ www.oceandata.earth

²⁴ <u>https://www.revocean.org/platform/oceandata/</u>

<u>chemistry.eu/products/catalogue</u>) providing also information on the unique persistent identifier (DOI). Data products as concentration and composition of litter items, of cigarette and fishing related items and plastic bags along the European coasts, density and composition of litter in the seafloor are available through the viewing service and through the product catalogue service.

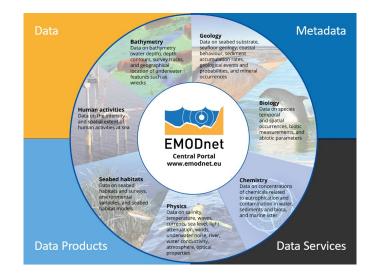


Figure 19. EMODnet open access marine data, metadata and data products across seven thematic areas. Data and web services offer unique ways to discover, visualize, download and work with marine data.

The Living Atlas of the World

Living Atlas of the World²⁵ is currently the world's largest GIS digital library that includes a rich set of thousands of ready-to-use online data layers and maps, as well as related capabilities (e.g., geocoding, routing, geoenrichment) (Figure 20). Desktop, server, mobile, and/or web mapping applications can access all assets. The content is hosted by Esri but the mostly open-access contributions are from scores of partners from government, NGOs, academia, and the private sector, representing the top 1% of ArcGIS Online's²⁶ 11 million public items, accessed by 1.6 million users daily, and with 4.5 billion map tile requests monthly. The Living Atlas is useful and reliable for hundreds of topics (e.g., Oceans Chapter of the Atlas)²⁷, including ocean conservation, coastal and marine spatial planning, ocean resource management and marine litter surveys.

²⁵ https://livingatlas.arcgis.com/en/

²⁶ http://www.arcgis.com/home

²⁷ https://livingatlas.arcgis.com/en/browse/#d=2&q=oceans&categories=Environment:0110000000





Figure 20. The Living Atlas of the World includes over 7000 ready-to-use datasets, maps and apps for empowering many environmental data systems. Partners with and contributors to the Atlas include NOAA, the Marine Conservation Institute, the European Space Agency, NatureServe, GRID-Arendal, and the UN Environment Programme World Conservation Monitoring Centre.

Resource Watch

The Resource Watch²⁸ platform, hosted by the World Resources Institute (WRI), is a free, open data visualization platform that includes more than 200 data sets on topics ranging from climate change to agriculture. Data in the platform are curated by WRI experts and extracted from peer reviewed and verified sources. Resource Watch data visualization functionalities include the ability to overlay data sets, create dashboards, and download data from the original source.

Earth Challenge 2020

In recognition of the 50th anniversary of Earth Day on April 22, 2020, a consortium of partners led by the Woodrow Wilson International Center for Scholars, Earth Day Network, and U.S. Department of State are launching Earth Challenge 2020 as the world's largest coordinated citizen science campaign. Earth Challenge 2020 initially focuses on six research areas, including plastics pollution, and seeks to harmonize existing citizen science data through an open, APIenabled platform and enable new data collection through a mobile application. While the project will launch in April 2020 with a global outreach campaign, the ultimate goal of this initiative is to create a long-lasting infrastructure for supporting interoperable citizen science data. Initially, the project seeks to harmonize and make available a subset of citizen science data on beach/ shoreline litter collected through NOAA's Marine Debris Tracker App, EEA's Marine LitterWatch

²⁸ <u>https://resourcewatch.org/</u>

App, Ocean Conservancy's Clean Swell App, and the Earth Challenge 2020 app. Earth Challenge 2020 data will be discoverable through GEO DAB, and accessible through GEOSS.

Section 6: Proposed Features of a Global Platform and Required Resources

As noted in Section 3 – marine litter data is diverse and widespread. The lack of standardized marine litter parameters, data criteria, and observation methods, as well as methods for extracting information and knowledge from the available data, currently limits the understanding of sources, transportation trajectories, global distribution, fate, and impacts of marine litter. Likewise, in all societal sectors, those addressing the challenges of mitigating marine litter need a wide range of information and knowledge. Knowledge needs change – sometimes rapidly – as new threats emerge or the extent of environmental impact is revealed; thus, linking relevant marine litter data and science to societal decision and policy-making poses challenges to current approaches.

To build a useful Global Platform for Marine Litter, some key questions need to be addressed:

- 1. Usability Who is it for?
- 2. Accessibility Who can access it?
- 3. Capacity Are users equipped?
- 4. Political buy-in Is there wider support?
- 5. Governance Are there frameworks and policies in place?
- 6. Sustainability What are the sources for long-term thinking and funding?

For the Global Platform to be a useful tool, stakeholders must coalesce priorities in terms of the necessary policy drivers and needed corresponding information and knowledge products. This white paper provides insights on the high-level legislative frameworks, monitoring techniques and observational datasets, existing databases, algorithmic and analytic elements, and existing indicators and their technical readiness level, as well as examples of existing data management and visualization platforms. The Global Platform for Marine Litter will need to integrate these components and offer relevant insights to its users.

Challenges to integrating and extracting information from data include:

- distributed datasets
- different observation methods, protocols, and standards
- disparate temporal and geospatial scales
- lack of metadata standards
- indeterminate data quality
- inconsistent or poorly documented data policies (including open data policies)

Desired Features of the Global Platform

Data Ingestion Portal

A relevant part of marine litter data (beach, seafloor, floating, etc.) is regularly monitored at national, regional, or higher level in the EU. Nevertheless, much marine litter data still is available only in peer-reviewed literature or grey literature. To encourage publication of data in openly

available databases, a data ingestion portal should be included (e.g., EMODnet Data Ingestion <u>https://www.emodnet-ingestion.eu/</u>). For data upload, ingestion tracking, storage, and transformation this component includes commonly agreed specifications for data, metadata, models, schema, and templates.

Data Brokering Functionality

The use of brokering services (such as the GEOSS platform) provides data discovery and access for existing data and is currently distributed across a variety of databases. A brokering service is a requirement of the Global Platform.

Knowledge resource repository

The Global Platform requires a central digital archive providing access to codified knowledge and featuring replicable open-science workflows for using marine litter data to extract knowledge supporting policies and policy development. This repository links and provides access to:

- Legislative frameworks, action plans, etc.
- List and roles of stakeholders
- Indicators, targets, etc.
- Research papers describing methods;
- Monitoring methodologies and algorithms;
- Datasets available (in situ, satellite, airborne, citizen science); and
- Results and scenarios for verification.

Data Processing and Analysis

The Global Platform requires computing resources and tools for processing and analysis to extract "knowledge", such as change detection, trend analysis, etc., to inform policymakers on topics such as marine litter sources and the impact of policies

Data Visualization

"The Global Platform needs viewing and publishing services for displaying aggregated and disaggregated datasets, maps, and indicators.

Standardization and Interoperability

As described in Section 3, marine litter data are acquired employing different monitoring methods with multiple protocols and standards having disparate temporal and geospatial scales, distinct quality levels, and contrasting technology readiness levels. Therefore, it is critical for the Global Platform to adopt consistent methodological standards and ensure data consistency to enable the comparison of indicators at different scales and between regions.

This consistency includes the standardization of marine litter terms and common vocabularies (semantics). For example, the SeaDataNet pan-European infrastructure for ocean and marine data management identifies common terminologies, metadata attributes, data schemes and models to uniformly populate the EU EMODnet Chemistry marine litter database (Addamo *et al.*,

2018; Molina Jack *et al.*, 2019) to implement the Marine Strategy Framework Directive (MSFD) (European Union, 2008).

The EMODnet Chemistry experience in integrating heterogeneous data sources (collection, standardization, quality control and sharing) began in 2009 with data related to eutrophication and contaminants (MFSD Descriptors 5, 8 and 9). The Chemistry consortium has experience in managing physical and chemical oceanographic data and information, thanks to the activities carried out during the SeaDataNet project. In recent years, this experience has expanded in response to the request to manage marine litter data (MFSD Descriptor 10).

Since the beginning, the management plan for marine litter data has been to adopt consolidated data formats, when available, and adapting them as needed. Following this approach, three specific methods for microliter on the beach, seafloor and water surface have been adopted, using the best available reference documents to develop a tailor-made approach at the European scale (Martín Míguez *et al.*, 2019).

The ingestion of the litter datasets have been challenging due to the complexity of the information and the heterogeneity of the source data. One of the key elements of the success in the data ingestion was the interaction with data originators. The consortium established a communication with data originators (direct or through contacts from the Regional Sea Conventions) that allowed to set up a feedback quality loop done in contact with data originators. This step was crucial to clarify doubts on reported data and to detect potential duplicates and errors in datasets (or part of them).

For beach litter, the ingestion of EEA Marine Litter Watch (MLW) datasets is still ongoing. EEA MLW collects data both from official monitoring and from citizen science. Data from citizen science represents a really interesting and relevant source of marine litter data due to its wide distribution (bottom-up approach). However, datasets collected with MLW app, have a strong heterogeneity in metadata and data quality. Data from monitoring activities or from citizen science vary in quality. For example, for single citizen surveys, it is not mandatory to specify the identity of the data originator, therefore the feedback quality loop regularly done with known originator is not possible through traditional methods such as expert review. Additionally, the identification of surveyed beaches is very relevant for the consolidated monitoring in order to have time-series data on the same place. Instead, for citizen science data the focus is on survey location, aside from the beach where this survey has been performed. As a result, the identification of the surveyed beaches along the time can be difficult. An on-line beach catalog or an OGC layer providing information (coordinates and metadata) on the surveyed beaches can help in the integration of official monitoring data and citizen science apps, and identify areas to prioritize for repeated sampling to promote the collection of time-sensitive data.

The use of citizen science data can be very useful, especially where monitoring programs are scarce or even not in place. However, it is necessary to implement tools that ensure a minimum quality of data. One promising area for future work is cross-validating citizen science data and small aircraft data. A second is the use of machine learning (ML) to identify different types of marine debris photographed by citizen science volunteers as an alternative, or complement, to expert-based data validation techniques.

Despite all the efforts to harmonize and integrate the available information, the production of data products able to summarize and highlight specific features is not an easy task. Due to the complexity and heterogeneity of the surveyed data, their integration is sometimes not possible.

As an example, the use of different gears (nets with different characteristics) for seafloor litter sampling leads to non-comparable data, due to the differences in the sampling efficiency of the nets. Any efforts to harmonize and integrate data must also consider data policies, including use conditions and requirements such as (in the case of citizen science) attribution to a citizen science volunteer.

Open Platform

To build a customer-centric and user defined Global Data Platform there will be a need to move from pre-defined data products to content-as-a-service model. Cloud computing and data analyses between different spatial and non-spatial data is imperative in order to bring together spatial Earth observation data, socio-economic data to generate knowledge and information (e.g. indicators) which respond to policy needs. This will require an open, collaborative and federated platform, where data producers can host, manage and share their own data locally. The platform must provide open standard interfaces so that information can be exchanged and services can be accessed from existing national/regional platforms and systems.

The Global Data Platform will need to tackle a number of challenges to continuously gather, curate, keep updated, and disseminate actionable information to become indispensable for decision making.

- Usability: The platform must be demand-driven and user-oriented. If the objective is to develop a platform (Knowledge Hub) with highest readiness level: 9 - System, process, product, service or tool approved for deployment and use in decision making (transition complete), it is critical to involve key users in the earliest stages of the design. We have to avoid the risk to implement yet another technology-driven, supply-side data delivery platform not responding to users need.
- Data vs Knowledge: The platform should be result-oriented, providing clear and objective guidance to decision makers. Raw data must be transformed into actionable knowledge to drive decisions, policymaking, and mitigation actions. Assimilative numerical models, intelligent algorithms, remote sensing and advanced visualization tools may help contextualize the information and help the development of operational monitoring systems (Atwood et al., 2019). In addition, indicators be must cross-referenced with socio-economic data and provide scenarios for decision-makers to respond to the challenges of adapting to and coping with these impacts. Indicators must be contextualized in order to be used operationally in the decision-making process.
- A Platform for decision makers: The platform needs to deliver more than quantitative data. Policy makers primarily adapt or adopt tested and tried policies and "do not target plastic waste once it has entered the environment; instead they aim to reduce the quantity of plastic production and use, before it is likely to enter the environment. In contrast, waste abatement outreach programs and infrastructure commonly target plastic waste before and after it has entered the environment. These strategies try to prevent and remove plastic waste from entering the environment and prevent coastal deposition" (Willis et al., 2018). It would be very useful for decision makers to have linked to the core monitoring areas examples of successful policies, regulations, awareness/abatement campaigns and strategies to prevent and reduce plastic pollution in general, and marine plastic, in particular.

- One platform for different countries: Decision makers need to have correct insights at the right scale, however the requirements linked with these insights might vary between countries. The platform must therefore be configurable and scalable in order for countries to be able to upload and analyze national data and compare it to broader global context. As identified by UN Environment (Jensen et al., 2019a; Jensen et al., 2019b), this is necessary condition if the platform is to generate the correct insights at the right scale, deliver these at the right time and in the right format in order to influence decision-making.
- A platform with different applications. As additional stakeholders are considered, the initial requirements of the database content should incorporate data to develop less mature observation techniques, such as remote sensing (Section 1, Table 4). Design of specific parts of the Global Data Platform could focus on cross validating the simultaneous observations using different techniques. For instance, in order to progress with remote sensing applications, part of the dataset should match simultaneous satellite observations. This practice is common for ground truth and development purposes for ocean color satellites (e.g. NASA SeaBASS). This can be supported through standardized and quality controlled datasets of marine plastics concentrations in combination with additional radiometric measurements.

From Open Data to Open Science

It is widely accepted that the benefits from adopting an open data policy include supporting broad economic benefits and growth, enhancing social welfare, growing research and innovation opportunities, facilitating knowledge sharing and effective governance and policy making (CODATA & Uhlir, 2015). Not all governments have however established national Open Data regulations/policies to enable agencies to share Earth observation datasets nationally, regionally and internationally.

Adopting an open data and open access policy is not sufficient on its own; it is important to provide a platform to maximise the "reproducibility spectrum", where data, code, analysis procedures, best practices, and literature, are shared and replicable. In addition, a data policy following the FAIR (Findable, Accessible, Interoperable and Reusable) principles, will support the development of solutions which are co-designed between research institutions, societal groups, government agencies, third sector and industry. These elements will guarantee the implementation of an open science platform where users are empowered and knowledge is reproducible. Reliable access to open data is also a necessary requirement for any platform that seeks to support ongoing monitoring and assessment, including monitoring progress against the SDGs.

Tanhua et al. (2019), outline how these principles apply to ocean data and discuss why ocean science is an essential foundation for the development of new services made possible with big data technologies.

Partnerships

Partnerships – public-private partnerships are a critical success factor for the implementation of the Global Platform. When planning the next steps of this project we must make sure that all potential stakeholders are included. This encompasses end users to identify and understand (not assume) their requirements, plus observation and monitoring; data management; big-data

analysis and analytics (including AI); computing and geospatial infrastructure, social science and policy communities.

Some technological partners could include:

- The Environmental Systems Research Institute (Esri; https://www.Esri.com/enus/about/science/initiatives/ocean-science)
- The AI for Earth initiative (https://www.microsoft.com/en-us/ai/ai-for-earth)
- Google Earth

One example of such a public private partnership used to make progress on an SDG indicator is the Water Related Platform²⁹. This is a free platform bringing together the European Commission Joint Research Centre's expertise in satellite data and data analysis, Google's cloud computing and artificial intelligence and UN Environment's scientific knowledge. Another example is GEO Blue Planet's partnership with Esri in support of SDG 14.1.1's eutrophication methodology for summarizing chlorophyll-*a* over time in four pilot areas worldwide³⁰ (Smail et al. 2019). Still another is Earth Challenge 2020, which integrates data from public sector agencies (NOAA and EEA) with NGO data, and makes integrated data accessible through Esri's ArcGIS platform. These examples demonstrate both the necessity of public private partnerships, and early indicators of collaboration that could be built on and expanded in a larger, coordinated effort.

²⁹ https://ec.europa.eu/jrc/en/science-update/monitoring-our-blue-planet-first-sdg-indicator-platform-launched-google-jrc-and-un-environment

³⁰ https://storymaps.arcgis.com/stories/37cadf2878e64cd9b34df62baa732b4c

Section 7: Marine Litter in a Digital Ecosystem for the Environment – Thought on A Pilot Project

Section 4 emphasizes the proliferation of data and knowledge platforms that aim at enhanced data integration and improved access to knowledge derived from data. Most of these platforms take a thematic approach or target specific user groups. Adding another traditional platform that aims to serve the users who have knowledge needs related to marine litter would increase the proliferation. It is very unlikely that adding more of the same will meet the urgent and rapidly changing needs in the Anthropocene. Considering that the future of humanity and many other species depends on a well-informed stewardship of the planet, it appears mandatory to make an effort to exploit the wealth of the ever-increasing global data resource utilizing leading edge technologies, approaches and concepts.

A fundamentally different alternative approach has been proposed by Campbell and Jensen (2019a,b). A "global digital ecosystem for the environment" would utilize the rapid development of new technologies and methodologies to create an ecosystem of active species interacting with each other and users (Figure 21). However, a "healthy" ecosystem has a broad diversity of active species that interact with each other and evolve over time. Developing the concept of an ecosystem that integrates data, information derived from the data and knowledge co-created in a collaboration of human agents with the data and information requires to identity the species that live in this ecosystem. Similar to a biological ecosystem, it is fundamental to recognize the keystone species that are central to the functioning of the ecosystem and that determine the nature of this system.

To some extent, the World Wide Web is an ecosystem in which a large diversity of Web-species interact with each other, compete, benefit from each other, and evolve independently over time. The digital ecosystem for the environment would have to exhibit similar characteristics in order to be an ecosystem. The current perception of the world of data, however, does not provide for this. In general, data are perceived as passive objects that need to be discovered, accessed, and processed in order to extract information. Progress towards a digital ecosystem would require a fundamental transition from this current perception of data to a new perception of data as active subjects (Plag and Jules-Plag, 2019). In this perception, data subjects can interact with other data subjects and human agents to provide access to the information embedded in the data.



Figure 21. Digital elements that could facilitate a digital ecosystem for the environment. From Campbell and Jensen (2019a).

In the following, we provide initial thoughts on a pilot project designing and implementing a digital ecosystem focusing on marine litter. This proposal for the Marine Litter Digital Ecosystem (MLDE) should be further developed in a participatory approach including the relevant communities. It is recommended to prepare a white paper that further develops the thoughts provided.

Initial Thoughts on the Marine Litter Digital Ecosystem

The species in the Marine Litter Digital Ecosystem (MLDE) fall into at least four main domains:

1. **Data collection**: digital (software) agents that collect new data and generate a flow to those species that represent data products;

- 2. **Data representation**: digital agents that represent data objects and can provide information extracted from these objects, as well as, give access to the data in the objects and receive feedback on data;
- 3. **Tool representation**: digital agents that give access to models and data processing tools; and
- 4. **Knowledge representatives**: digital agents that represent knowledge created by interaction of human and digital agents.

Thus, the taxonomy of the MLDE would have to include at least the four domains "data collection" (DCD), "data representation" (DRD), "tool representation" (TRD) and "knowledge representation" (KRD). The domain of "best practices" (BPD) should also be considered. Each of these domains will have classes that consist of a number of families. Each family comprises a number species.

In the data collection domain (DCD), the classes are defined based on the complexity of the "sensors" that provide the data stream or data streams. Individual sensors providing a stream of observations constitute the most basic species. There is considerable diversity ranging from sensors of physical conditions (e.g., temperature, humidity, displacements,

The agents in the DCD are often linked to sensors or provide means for the reporting of data through crowd sourcing or the harvesting of data from existing sources. Agents in the DRD that represent data objects can represent a range of objects from collections of raw data to information extracted from data, including complex indicators for the environment or synthetic data and information based on models. If they are asked to provide information that requires data processing, these agents can interact with the agents in the TRD representing tools for processing. They would have the full information related to the data object each of them represent ranging from the actual data, the full metadata, information on quality, usability, former uses including – to the extent legally allowed – former users, processing tools, and feedback from other users.

Agents in the KRD representing knowledge have semantic capabilities to answers questions from human agents. The knowledge they represent is a collaboration of relevant groups of societal agents, including scientists, policy makers and other relevant stakeholders.

While most of the agents in the DCD could be reflective agents, the agents in the other domains would have to be learning agents that combine model-based, goal-based and utility-based agents. In particular the agents in the DRD and LRD would need semantic capabilities.

A first implementation of the MLDE could utilize the infrastructure available through the Web. The standardized protocol for the communication between the different agents would require a major development within the framework provided by the Web.

Section 8: Future Developments

In the discussion paper "The Case for a Digital Ecosystem for the Environment", UN Environment (Jensen & Campbell, 2019a) makes a compelling case on not only how data, technology and innovation can transform the collection and management of environmental data, but also how they can critically enable conditions for better governance.

As reported by the UN Secretary General's Independent Expert Advisory Group on a Data Revolution for Sustainable Development,³¹ without high quality geospatial data, the task of designing, monitoring, and evaluating effective policies to achieve the Sustainable Development Goals (SDGs) is almost impossible. For SDG14.1.1, and in particular the marine litter indicator, new data management technologies, artificial intelligence, cloud computing and cloud storage of information, together with increased volume of accessible geospatial data, are making it possible to manage, share, process and analyse large volumes of data in near real time as well democratizing access to the data itself.

The digital ecosystem proposed by UN Environment would comprise of the following four main components: (1) data; (2) infrastructure; (3) algorithms and analytics; and (4) insights and applications. The Global Platform would therefore need to transform data using an underlying infrastructure combined with algorithms and analytics (see for example artificial intelligence) into insights and applications that are used by stakeholders (National Statistics Offices, decision makers, environmental managers, researchers, public & private organisations, citizens, etc.).

As reported by Joppa et al. (2019), to address the challenge of harnessing computing power and provide actionable solutions for climate change, we need to make use of the three catalysts of our information age – ubiquity of data, advances in algorithms and access to scalable computing infrastructure – and apply them to our sustainability challenges. Hence, a Global Platform must be ambitious from a technological perspective and make sure to leverage the data, infrastructure and algorithms and analytics components, to address the insights and applications as set by the end-users.

Use of Artificial Intelligence

As mentioned in Section 1, the use of machine learning and deep learning as part of artificial intelligence (AI) to detect marine litter in the aquatic environment (at the surface and in the water column) is becoming increasingly relevant.

Fulton et al. (2014) have evaluated a number of deep learning algorithms performing the task of visually detecting marine litter, with the objective of exploring, mapping and extracting debris using autonomous underwater vehicles (AUVs). Balas et al. (2004) have applied artificial intelligence techniques of neural network and fuzzy systems to determine beach litter grading based on litter surveys. Kylili et al. (2019) have shown the added value of deep learning techniques in

³¹A world that counts: Mobilising the Data Revolution for Sustainable Development. <u>http://www.undatarevolution.org/wp-content/uploads/2014/11/A-World-That-Counts.pdf</u>.

automatically identifying and determining the amount of floating marine litter, with a success rate of approximately 86%. Schulz and Matthies (2014) found artificial neural networks eligible to deliver reliable predictions of marine litter in the southern North Sea with relatively low computational effort and little input of information. Toro (2019) proposes the use of deep neural networks to survey and detect marine debris at the bottom of the water column from Forward Looking Sonar (FLS) images. The automatic detection and quantification of small microplastics particles (20-1000µm) through fluorence microsocopy and image analysis is helping to address the difference in marine litter fraction spatial distribution between surface and water column (Erni-Cassola et al., 2017).

These artificial intelligence based solutions provide fast, scalable, and potentially cost effective automatic methods for identifying and evaluating marine litter. As more and more remotely sensed observational data is available from autonomous underwater vehicles (AUV) and remote platform aerial systems (RPAS) in forms of videos and imagery, machine learning provides a solid, sustainable and trustworthy alternative to the standard visual-census approach, from both a time and classification perspective (Martin et. al, 2018; Moy et. al., 2018).

Integrated Marine Debris Observing System

While there is an existing wealth of data available, observations of marine litter sources, composition, pathways and distributions in the ocean are sparse and inaccurate. For example, total amounts of plastic, and other man-made debris in the ocean and on the shore, temporal trends, degradation processes, vertical fluxes and tie scales are largely unknown (Maximenko & Corradi, 2019). There is a need to develop a long-term observation platform, which is able to provide the necessary monitoring data for mitigating the impacts of marine litter on the ecosystem.

Two years after the Paris Climate Agreement, the world's nations mobilized their efforts to tackle climate change. Space Agencies and other key stakeholders recognized the need to implement a Space Climate Observatory (SCO³²). Along these lines, there is a need to mobilize those stakeholders committed to making meaningful advances, that the development of an Integrated Marine Debris Observing System (IMDOS) is critical. Investing in new dedicated space and insitu programmes, federating existing national and regional databases and coordinating all leading actors, will offer unified access to a vast majority of marine debris data (acquired from space and in-situ), which can deliver indicators and decision-support tools (integrating other sources of data) via a Global Platform for Monitoring Marine Litter and Informing Action.

New Approaches for monitoring harm caused by marine litter

Transoceanic rafting is the transport of biota on litter items and a fundamental feature of marine evolutionary biogeography and ecology (Carlton et al., 2017). It has become a new problem because of the recent proliferation of floating particles, which are mostly plastics. Trillions of both micro and macro-plastics at the surface, and sunk debris are all potential carriers of marine organisms, with advantages for plastic as a transport mechanism in its longevity at sea, its surface properties favoring attachment and a passive and low speed dispersion. Hundreds of

³² https://www.spaceclimateobservatory.org/presenting-sco/?lang=en

different species, from bacteria to larger invertebrates, representing more than 380 taxa, settle on plastics, also on deep-sea litter, acting as new habitats. In addition to the alteration the composition of ecosystems and the possible changes in genetic diversity (Werner et al., 2016; Carlton et al. 2017), some of them may be at risk like Harmful algal blooms related dinoflagellates, pathogens to fish and human and invasive species (Werner et al., 2016; GESAMP, 2020).

To date, there is no systematic record of the settling of species on marine litter, from microorganisms to large invertebrates, planktonic or benthic. Because of the risk associated to their transport, collection of data on rafted organisms, their possible toxicity and mode of invasion has become critical. In a recent G7 workshop (G7, 2019), it has been concluded that monitoring should include more knowledge on the microbiology and other species that can present a risk (invasive, harmful algae, pathogens species) by colonization of plastics, and are subject to transport on plastics. Sharing data through a dedicated plastics database collecting information on the colonization of plastics is a priority that will provide historical records, evaluate trends and support risk assessments. A future strategy involves exploring the various options, including the generation of a new database or establishing links with existing databases on invasive species, on a global scale (GISP, http://issg.org/database/welcome/) or at regional level (EASIN, https://easin.jrc.ec.europa.eu/easin), and also considering the possible support of existing database on marine litter (ICES/PICES, RSCs,EMODNET, etc.).

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